

## Unit-level environment template (REF5b)

<b>Institution: University of Nottingham (UoN)</b>
<b>Unit of Assessment: UOA 28 History</b>
<b>1. Unit context and structure, research and impact strategy</b>

### 1.1 Overview

UOA28 comprises **42 (40.8 FTE) academic staff** (compared with 29 in REF2014) based in the Department of History. The latter is part of the School of Humanities, one of three Schools in the Faculty of Arts. Our **research ranges from the fall of Rome to the late-twentieth century and from the very local to global research themes**; with strengths in a range of methodological approaches including **cultural, social, gender, international, colonial and post-colonial history**. To support collaborative research and individual researcher development, our research community is organised into four research clusters, defined chronologically (Medieval, Early Modern, Modern, Contemporary). This structure also supports the recruitment of PGR and PDFs and their integration into our research culture. The Unit has **a strong tradition of interdisciplinary research** and History staff have provided leadership in UoN's drive to support interdisciplinarity through its Beacons of Excellence and Global Research Themes (GRT) programmes (see REF5a 2.1). For example, Umbach as APVC Research and KE for the Faculty of Arts (2015-19) influenced the development of UoN's Research Strategy and formation of Beacons and GRTs, going on to co-lead the Culture Matters Interdisciplinary Research Cluster (IRC: 2019-). Greenwood co-led the Health Humanities Research Priority Area (RPA: 2016-19) and is on the leadership board of its successor, the Improving Health and Wellbeing IRC (2019-). In the assessment period, the Unit has built a **strong culture of KE and public engagement**, building on its long-standing commitment to enabling community participation in research (e.g. the Victoria County History project [Beckett] and AHRC Connected Communities project [Harvey] reported in REF2014) and its enduring collaborations with museums, archives and local Government (see **1.2, 4.2.i**).

The UOA's research, impact and PGR strategies and delivery mechanisms are overseen by the Head of Department (HoD), who works with the Director of Research (DoR), Impact Officer and Director of PGR, and chairs the Department's Research and KE Committee. An annual Research Away Day enables collective discussion on individual and group research and KE plans across the UOA. The DoR sits on the School of Humanities Research and KE Committee, which reports to the Faculty Research and KE Board, chaired by the APVC for Research and KE, thus aligning Department, School, Faculty and institutional strategies.

### 1.2 Achievement of Strategic Objectives in assessment period

#### Research

The Department's overarching strategic objective is to sustain an ambitious, vibrant research ethos that promotes an equitable, inclusive and supportive research culture where every staff member can excel in research and impact. In 2013-20 we have achieved our four main goals, and laid important foundations for sustained success. The Department has: effected a **step change in its research income**: up from £755k in REF2014, to income of **£3.4m** (from awards of **£3.9m**); and produced **21 monographs, 11 edited essay collections and 2 major scholarly editions**. Collectively, staff have published over **200 journal articles and essay chapters**.

Our specific REF2014 aims have been achieved as follows:

i) **To enable every researcher to produce the highest quality research outputs and impact with comprehensive support for academic development**. Strategic leadership (HoD and DoR)

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has supported staff to engage fully with training, development and investment opportunities. Examples include the **UoN's Research Leaders Programme** (Umbach and Gaunt: REF5a 3.1), the Faculty's **Arts Research Leadership Programme** (12 members of staff, 2.1) and **mentoring of all Early Career Researchers (ECRs)**. Each member of staff benefits from one semester of research leave in every seventh semester and focused coaching for researcher development through annual Appraisal and Development Conversations (ADCs, REF5a 3.1) with an academic reviewer. Staff research is further enhanced by research and KE development support from the Centre for Advanced Studies for the Arts and Social Sciences (CAS, see 3.3) and through **engagement with a cross-Faculty internal peer-review college**.

ii) **To develop new generations of scholars by the training and supervision of postgraduate and postdoctoral researchers, and mentoring ECRs and junior colleagues.** Achieved by continuing to increase our PGR numbers with 81 recruited (up from 57 in REF2014). This has in part been facilitated by the Unit's success in securing **studentships from the AHRC (33) and ESRC (9)**, described in 2.2. The Department benefitted from institutional strategic investment in **Nottingham Research Fellowships (NRFs)** (REF5a 3.2) to secure two 3-year research fellowships that lead to a permanent post on successful conclusion of the awards (Thum, Law). Our support and development of the next generation of researchers in history was further enhanced by 3 externally-funded postdoctoral fellows supported by **British Academy** (Ward) and **Leverhulme** (Trombley, Cimino) funding. External grant capture also supported postdoctoral research positions that benefitted 11 other PDRs (see 2.1).

iii) **To enable interdisciplinary and collaborative research.** The Unit has both furthered existing collaborations and initiated significant new collaborations across disciplines and with academic organisations nationally and internationally (4.1). This success was in part facilitated by UoN's investment in interdisciplinary research networks (RPAs and IRCs; REF5a 2.1a), which pump-primed interdisciplinary activities by researchers across the Unit (£57,206 awarded). Examples of major interdisciplinary projects in which staff have played a leading role include: **Greenwood's** collaboration on the AHRC-supported 'Nightingale Comes Home for 2020', (PI Crawford, Health Sciences) and on the AHRC/GCRF project 'Towards Transdisciplinary Understandings of Inherited Soil Surveys' (PI Lark, Biosciences); and **Umbach's** work as PI on the AHRC-supported 'Photography as Political Practice in National Socialism' (Co-Is in Computer Science and Education). Inter-institutional collaboration is exemplified by **Beckett's** leadership of the AHRC Centre for Hidden Histories (CHH) WW1 Engagement Hub (working with a team from the Universities of Derby, Manchester Metropolitan, Nottingham Trent, Oxford Brookes) and collaboration with the AHRC-funded WW1 Engagement Centre consortia (led by Birmingham, Belfast, Hertfordshire and Kent). We actively recruit PDRs who work between disciplines (Law and Toropova, Health Humanities; Thum, Digital Humanities).

iv) **To enable KE and impact through collaborations beyond higher education institutions.** In 2013-20 the Unit has both maintained a range of existing partnerships, including a multi-project partnership with **Nottingham Museums and Galleries Service** (Gaunt), and established a broad range of new collaborations with regional, national and international organisations. Key areas of collaborative focus have been the **museum and heritage sector, teaching and learning professionals** and continued engagement with community groups to encourage **public participation in research** - see 4.2-4.3.

### Impact

In 2013-20 we have significantly expanded our impact and KE activities, achieving our REF2014 aims by:

i) **Making impact integral to our research effort.** Since 2013, the Unit has embedded questions about individual and team KE and impact plans in its appointment process, mentoring, annual ADCs and Research Away Days, and factored Impact work into the calculation of individual workloads. The appointment of a School of Humanities Impact Officer (Gaunt 2016-19) and (from 2019) Department Impact Leads has supported our ambitions. History's Impact Lead (Holland) meets regularly with all staff-members to ensure they maximise their research's KE/impact

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potential. Further support, including brokering relationships with external impact/KE partners, is provided by the Faculty's Research Impact Officer and Research Development staff based in CAS (see 3.3). Knowledge mobilisation and partner engagement is fostered by Faculty KE seed corn funding and, from 2018, a new Arts Impact Accelerator, funded by UoN's Higher Education Innovation Fund (HEIF) allocation. For example, an Arts Impact Accelerator award (£7,016) employed a postdoctoral fellow to extend Gaunt's work supporting the **redevelopment of Nottingham Castle** and enhancement of its interpretative scheme (leading to Impact Case Study [ICS] 'Rebellion'). Our KE/impact has also benefitted from funding from UoN's RPAs: e.g. RPA funding (£28k) supported Arnold's development of a **partnership between academics, the National Union of Mineworkers (NUM) and Modern Records Centre, Warwick** that secured a plan for the long-term preservation and public access to NUM's archives.

ii) **Embedding pathways to impact into PGR and ECR training.** We have supported and enabled PGRs and ECRs to translate their research for non-academic audiences by embedding KE and impact support into mentoring, Research Away Days and ADC review. Examples of resultant PGR-led KE activities include: Roche, 'Elizabethan Espionage', St Albans School (2015); Henry, Anderson and Griffiths 'Posters for Victory', UoN Family Discovery Day (2016); Dixon, 'Excavating Empire: David Talbot Rice and the Rediscovery of Byzantium', Barber Institute of Fine Arts (2017). The AHRC Connected Communities award 'Writing our History: Digging our Past II' (PI Gaunt), discussed further under iii, supported 2 PDRs to deliver training (IT, accessing archives, palaeography) and professional advice (historiography, bibliographic techniques, interpretative approaches), working in partnership with community groups, local museums and archives.

iii) **Developing larger-scale impact projects with improved reach and significance.** By encouraging the incremental growth of collaborations and partnerships we have successfully grown the reach and significance of our KE. For example, the Unit built on Harvey's AHRC Connected Communities grant 'Writing our History: Digging our Past' (2012: REF2014) to facilitate further opportunities for collaborative KE awards. This resulted in two AHRC Community Heritage Development Awards (2013-14): 1) Gaunt and Riden 'Trade and Traffic on the River Trent and Associated Waterways, 1850-1970' with external partner Newark Heritage Barge; and 2) Beckett's 'The Social World of Nottingham's Historic Green Spaces: A Community History Project', and subsequent AHRC Follow-on-Fund award with Beckett and team working with the 'Friends of the Arboretum', 'Friends of the Forest' and 'Nottingham's Women's History Group' to investigate changing use of public parkland in Nottingham (ICS 'Green Spaces'). Gaunt secured a second phase of the Connected Communities funding for 'Writing our History: Digging our Past II' (2014), which enabled academic partnerships with another fifteen local history groups. Umbach's work with the National Holocaust Centre Museum (NHCM) provides a further example of incremental development. Umbach's initial partnership with the NHCM was supported by an AHRC Cultural Engagement Fellowship (Stiles: 2016) and focused on re-developing the Centre's education packs for schools. This grew into a collaboration with NHCM, and academics from Education and Computer Science, on Umbach's 'Photography as Political Practice' (supported by an AHRC standard grant). This project has enabled Umbach to develop a wide range of national and international partnerships. By embedding practitioners from the Bonarates Photo Gallery (Vienna) and Ethnographic Museum (Vienna) within the Department's own research culture, it has provided a model for colleagues to build on.

iv.) **Enhanced public engagement and public access to research findings (see also collaborations described in 1.2.iii).** To enable greater public reach, staff have also engaged the public via the media. Writing pieces for **popular journals** (e.g. Gaunt and Laven: *BBC History Magazine*; Harvey: *History Today*; Hornsey: *Source: Thinking Through Photography*; Kumar: *Aeon Magazine*; Law: *Daily Maverick*; Merton: *Stylist Magazine*); **broadcasting and newspapers** (e.g. Adler, expert historian on *Who Do You Think You Are?* 2015; Auerbach, research consultant for programmes on *Yesterday Channel* and *History Channel*). Staff have also delivered **public lectures** (e.g. Gaunt, 'Late Night at the Castle', 2014, and Wilson, 'Remembering Nottingham's

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First World War', 2018, both as part of the British Academy's 'Being Human' national festival of the Humanities).

### 1.3 Impact case studies: the approach to achieving impact

Our ICSs reflect the Department's research specialisms, spanning social, cultural and political history. They have fostered local and global collaboration, establishing sustainable partnerships with organisations regionally in the Midlands, nationally in London and internationally in Austria. Working closely with community partners and diverse communities, as well as establishing digital platforms, the ICSs have achieved local and global impact. This includes a) providing specialist research capacity for partner organisations (Rebellion, Holocaust ICSs), b) informing policy and shaping practice in the heritage sector (Rebellion, Green Spaces, Holocaust ICSs), c) enhancing understanding of hidden and diverse histories with contemporary relevance (all four ICS) and d) fostering capacity for community research and active citizenship (Green Spaces, MOOC ICSs). Each ICS incorporated research-based outreach and KE as a foundation for the focused activities from which the impact arises, and included substantial PGR and/or postdoctoral involvement.

### 1.4 Interdisciplinary Research

The Unit has a strong tradition of interdisciplinary research and, as 1.1 describes, has provided leadership for institutional-level strategies and initiatives to foster interdisciplinarity, including Beacons of Excellence and GRTs (REF5a 2.1). In 2013-20, staff have played key roles in major interdisciplinary projects, including: **Greenwood's** collaboration with Crawford (Health Sciences) on 'Nightingale Comes Home for 2020' (AHRC-supported), and with Lark (Biosciences) on 'Towards Transdisciplinary Understandings of Inherited Soil Surveys' (AHRC/GCRF-supported). **Umbach** has worked with Co-Is in Education and Computer Science on 'Photography as Political Practice' (AHRC-supported). Whilst **Beckett's** CHH's WW1 engagement hub involved collaborations with experts in area studies, geography, health sciences and languages.

### 1.5 Open research, ethics, and integrity

In line with UoN's significant commitments to open research (REF5a 2.3) and the principles of the Concordat to Support the Career Development of Researchers (REF5a 2.4), the Department seeks to enable research of the utmost **quality, integrity, and openness**. Best practice is embedded via UoN's Publication Framework, which advises on open-access (OA) and open-data (OD) policies, research conduct and ethics, and the responsible use of publication metrics. All projects whose impact or research activities involve human subjects, or their data, are subject to rigorous ethical review, with support from officers at School and Faculty level. Staff and PGRs are trained in the safe handling and storage of data, including GDPR. PGRs additionally receive an Arts-specific Research Integrity course, administered by the Researcher Academy. Academic misconduct is dealt with in accordance with UoN policy.

UoN treats transparent dissemination of research results as integral to research integrity (REF5a 2.3). In 2019 the **Department developed its own 'Go Beyond' policy on Open Access**, with the aim of having 75% of staff place items which fall beyond the scope of standard OA requirements into UoN's repository. This target has been exceeded (**85%**), with **104 items** made **open access**. The University has provided funds to support Gold OA publications deriving from UKRI-funded projects, and the School of Humanities contributes to Gold OA for non-UK-based projects, matching contributions from other funders. Wherever possible OD resources are planned into research projects (e.g. Appleby on Civil War Petitions; Goddard on Nottingham Borough court rolls; Harvey by making the working papers for the Reich Labour Ministry project accessible). All outputs published through JTaylor's ERC-funded project 'Cultures of Occupation in Twentieth Century Asia' are Gold Route access, and shared via Twitter/Facebook. Likewise, **History's MOOCs have significantly increased public use of OA publications** (e.g. Badcock's session on 'Justice and Violence in Late Imperial Russia' resulted in 2,917 viewings of her 'From Villains to Victims' in

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*Europe-Asia Studies*). Over **80 articles** have been placed on **History's Blog**, 'History Past and Present', since 2013.

### 1.6) Research and Impact Objectives (2020-25)

We will build on the successes outlined above to continue fostering inclusive, interdisciplinary research and impact excellence, with the following strategic aims:

1. Develop and sustain an ambitious, vibrant research ethos that promotes an equitable, inclusive and supportive research culture and environment.
2. Recruit, retain and develop excellent researchers using fair and inclusive selection and appointment practices, with clear and transparent merit-based recognition, reward and promotion pathways that recognise the full range of researcher contributions.
3. Enable every researcher to produce the highest quality research outputs and impact, with proper support for academic development, within an open and humane research environment.
4. Enable the effective mobilisation of our research, through a commitment to the open access agenda and by working with external partners regionally, nationally and internationally to achieve knowledge exchange and impact.
5. Increase and diversify external research grant income, with the fullest involvement of individual staff members, supported by an efficient system of encouragement and advice.
6. Recruit postgraduate students, developing their research skills in a challenging but nurturing environment, enabling them to write original and significant theses and providing them with the foundation for a career in academia or a related field.
7. Develop interdisciplinary and collaborative research by engaging with other Faculties, as well as other historians and institutions, nationally and internationally, and by participating in the University's research structures, including its Interdisciplinary Research Clusters.
8. Enable effective knowledge mobilisation working with external partners regionally, nationally and internationally and equip every researcher with the skills to plan and evaluate their impact.

These objectives will be developed by setting strategic group and individual goals on outputs, grant capture, impact and open access, overseen by the Department's Research and KE Committee. For example, our four research clusters already have in train the following research and impact plans:

**Modern and Contemporary:** Major book-length publications by Badcock, Balzaretto (co-author), Baron, Kumar, Laven, Law, Merton, Serem and JTaylor. Large-scale projects coming to fruition include Harvey's leadership of the English-language documents edition of 'The Persecution and Murder of European Jews by Nazi Germany 1933-1945' (16 vols). Our planned major impact projects/activities include Greenwood/Hornsey 'Chemists to the Nation, Pharmacy to the World': Exploring the Global Dimensions of British Healthcare and Beauty with Boots the Chemists, 1919-1980' (AHRC Standard grant); Umbach's leadership role within the National Holocaust Centre and Museum, and new MOOC on Photography and National Socialism; and Arnold's work on the archives of the National Union of Mineworkers.

**Medieval and Early Modern:** Major publications will include Darby's *Letters in the Age of Bede*, Lutton's *The Cult of the Holy Name in Late Medieval England*; Dodd's, *The Late Medieval English Parliament*; Gehring's *Robert Beale* and Merritt's *Sacred and Profane in Early Modern London*. Major impact and KE-related projects include Appleby's work on the AHRC-supported 'Petitions of the English Civil war' project.

## 2. People

### 2.1) Staffing Strategy and Development

Our **Recruitment Strategy** has three elements:

- i) **To maintain diversity of research excellence following retirements and resignations.** Expertise in pre-modern history has been consolidated with the appointments of Darby and Gehring; expertise in modern British and European history consolidated and substantially extended with the appointments of Arnold, Blackburn, Greenwood, Holland, Hornsey, Serem and Wilson. Expertise in Indian history was added by the appointments of Gust, Kumar and Sen, in modern Chinese history by JTaylor and in South African gender history by Law.
- ii) **To strengthen the areas prioritised for further consolidation and/or development** (see 1.6) by support for PGR recruitment (2.2) and PDR recruitment via grant capture.
- iii) **To recruit and support high-quality researchers regardless of personal circumstances in a fair and transparent process.** Our commitment to EDI is shown in the improved gender balance, from 72.5% men/27.5% women in REF2014, to **64% men/36% women** in REF2021. We have achieved 50% balance of men/women for our Professors. In line with UoN's significant commitments to EDI (REF5a 3.5) and the principles of the Concordat to Support the Career Development of Researchers, the Department's recruitment process encourages diversity within the applicant pool and ensures equal measurement of applicants against explicitly stated job requirements. All staff on appointment committees receive unconscious bias training; chairs of appointment committees attend specific training in best practice; every appointing committee for level 5 (Assistant Professor) or above includes a member of staff from outside the Department. Service on short-listing and interviewing panels is spread around the Department, all-men panels are avoided, and a range of career stages is represented in each panel. All staff/PGRs are invited to job presentations, and their feedback helps inform the panel's decision. Each new appointee is given a mentor from the Faculty of Arts to provide support/advice, identify suitable training and develop their career potential.

Our **Staff Development Strategy** has two main ambitions:

- i) **To encourage the career development of the next generation of academics to sustain the health of the discipline.** We have a strong record of appointing and providing excellent support for ECRs (e.g. Gehring, Gust, Hucker, Merton, Law, Serem, Kumar), while adding research strength by appointing outstanding mid-career academics (e.g. JTaylor, Greenwood). Support for ECRs is governed by the University Concordat to Support the Career Development of Researchers. All ECRs benefit from a half-teaching load and freedom from administrative duties (other than those associated with their own teaching) in their first year. They are also allocated a mentor. In their second and third years, they continue to have reduced teaching loads and lighter administrative duties, building to a full teaching and administrative load only in their fourth year, when they are due a semester of leave. From the outset new ECR staff are fully integrated into our research culture, attend research seminars and are part of the ADC system. They also receive grant application development and other support from CAS, including two ECR-focussed events per year, and benefit from training offered by UoN's Researcher Academy. Newly-appointed staff complete the PGCHE, with Researcher Academy oversight (REF5a 3.2).
- ii) **To ensure that all staff are afforded maximum time to undertake research and are excellently mentored in shaping appropriate research priorities and accessing internal/external funding.** Staff benefit from University and Faculty support mechanisms, including a one semester in seven study-leave schedule, which has supported 48 semesters of leave (2013-20). These are supplemented by rigorous peer-mentoring for grant applications, both at UOA and Faculty levels, the latter supported by CAS. This has helped 22 staff secure external grants and further enabled staff to attract investment funding to the Department from the University, Faculty and School (see 1.2, 3.3). ECRs and post-doctoral staff also benefit from a dedicated peer-mentoring scheme. Since 2013, successful applications for competitive internal funding (PVC's leave) allowed 8 staff (6 men, 2 women) to extend their study leave semester to a full year. This enabled completion of major research and impact projects including monographs by Goddard, Auerbach, Gust and Gaunt, extended overseas visits to archives by Mawby and Merton and Hornsey's KE collaboration with Boots Commercial

Archives on a public exhibition on their No.7 brand: *Inspiring Beauty* (2018).

**University structures to ensure staff develop their potential** include training and skills development in Career Management, Research Methods, and Research Leadership and Management. In 2016, led by Umbach, the Faculty launched an **Arts Research Leadership Programme**, to support academics 8 -18 years post-PhD transition from excellent researchers to research leaders. The programme supports career planning, navigating changes to the research environment, and mentoring skills and has been completed by 12 colleagues (5 women/7 men). Our **staff development** policy, accessible to all staff via the School workspace, supports all staff throughout their research careers, from recruitment to retirement, through the use of mentoring, probation, appraisal and training. As much importance is given to **staff retention** and incentivisation as recruitment: staff are offered a range of leadership programmes, and their personal development can be advanced via a suite of online courses offered as part of Continuing Professional Development. Our **Annual reviews** (ADCs) provide a supportive environment to discuss career trajectory and to reflect on professional development. Regular School research events, departmental staff research seminars and our research clusters provide additional community synergies.

Evidence for the success of these structures can be seen in staff **promotions** (2013-20): 1 Teaching Associate has been promoted to Assistant Professor (Merton, M); 2 Assistant Professors to Associate Professors (Cobbing, Greenwood, 1F/1M); and 2 Associate Professors to Professors (Badcock, Balzaretti, 1F/1M). The Department also supports the research activities of staff whose formal employment has ended, including 9 Emeritus Professors and Associate Professors, and 18 Honorary Research Fellows.

**Post-Doctoral Researchers.** Since 2013, the UOA has successfully grown its PDR community, with Postdoctoral Research Assistants (**11**) and Postdoctoral Fellows (**5**; one British Academy PDF (Ward), two Leverhulme Early Career Fellows (Trombley, Cimino) and two NRFs (Thum, Law: REF5a 3.2). They are fully integrated into the Department's research culture, and developed by mentoring and appraisal, inclusion in departmental meetings and research seminars, and access to institutional support, including training and career development. Our PDRs are further supported by a 5-day programme for Early Career Researchers, covering issues such as time management, team working and future career planning, and participation in sandpits and writing retreats. Their progress is regularly reviewed and career development needs identified by a team including the relevant project supervisor/mentor, DoR and HoD.

## 2.2) Support for, training and supervision of PGRs

**PGR recruitment** more than doubled in 2007-13 (from 23 to 57), and has continued to expand. Between 2013-20 we recruited **81 students (48% women)**. Selection decisions for the offer of PGR places are made by panel of three, led by the Director of PGR (Recruitment and Funding), based on a combination of applicant merit and fit with a suitable supervisory team. Our **PGR completions** have increased significantly from 24.5FTE (2007-13), to **68.55FTE (74 students)** in the 2013-20.

A significant factor in increasing our PhD studentships is the success of the AHRC-funded Midlands3Cities Doctoral Training Partnership (M3C), launched in September 2014 with 1-to-1 match funding commitment from each consortium partner. Since 2014, our Unit has recruited **33 PhDs** supported by **AHRC funding (54% women)** – up from 10 AHRC awards reported in REF2014. M3C's successor, M4C (2019-25), was ranked first in the AHRC's DTP2 competition (REF5a 3.3) and awarded the largest allocation of studentships, again with commitment to 1-to-1 matching funding by the consortium members. PGRs with M4C funding benefit from the Midlands Arts Programme, which draws on all eight Midlands-based universities within the partnership to provide research and employability training, networking and cohort development opportunities that reflect the changing status of arts researchers in the 21st Century. Five students are funded through AHRC Collaborative Doctoral Awards (CDAs: IRO CDT studentship x3, M3C x1 and M4C

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x1) (4.2.i). In 2013-20, 9 PGRs have been supported by the ESRC DTC and Midlands Graduate School DTP (some with an MA/MRes element funded) – up from 2 awards in REF2014. Interdisciplinary PGR recruitment has been facilitated by Baron's role as Director of the Area Studies and Economic and Social History pathways of the ESRC Midlands Graduate School. In addition, 15 PGRs have benefitted from **fee waivers** (including 6 John Robinson Fellowships, funded by a History alumnus, and 2 international students supported by Vice-Chancellor's Research Excellence Awards).

All new PGR students are allocated a **supervisory team** containing a minimum of two supervisors (REF5a 3.3). The primary supervisor provides subject-specific expertise and is the main point of contact between the student and the Department. The secondary supervisor offers a combination of complementary subject specialisms and general guidance on academic and procedural matters. To ensure the best possible support for each PGR student, the Department has developed a strong tradition of **collaborative and interdisciplinary supervision**: of the 47 students currently registered, 8 supervisions are shared with other Departments and 13 with external partners (e.g. the Universities of Birmingham, Leicester, and Nottingham Trent). Staff also participate in a further 14 PGR supervisory teams involving students registered in other Departments (5) or institutions (9). PGRs benefit from a minimum of 10 supervisory meetings per year for full-time students, 6 for part-timers. Records are kept of all supervision sessions (monitored by the School's Research Office) and the entire supervision process is overseen by the Director of Postgraduate Research (Progression and Training). A key part of **progress monitoring** is provided by the confirmation review during the first year of study, followed by annual reviews in subsequent years. PGRs have their own workspace within the School, with PCs and facilities for scanning, free printing and photocopying. The School and Researcher Academy provide PGRs with financial **support for research visits (£3k awarded 2013-20)**. The Faculty's 'Digital Transformations Hub' provides **technological support** and equipment to enable new digital approaches to research. The Social Sciences and Arts Graduate Centre provides additional 24-hour postgraduate social/learning space, and opportunities for cross-disciplinary collaboration.

**PGRs are fully integrated into the Department's research culture via participation in the Department's fortnightly research seminars and 'Work in Progress' workshops.** PGRs are encouraged to organise their own conferences (e.g. Hearne, 'Science, Society and the State, 1870-1935' (2015); Rowson, 'The Future Direction of Russian and East European Studies' (2018); Rose, 'Sociability in Early Modern Britain' (2019)). Our Medieval PGRs organised three conferences under the auspices of the 'Medieval Midlands' M3C/M4C framework: 'Power and Society, c. 500-1500' (2015); 'Identity in the Middle Ages' (2017); and 'Medieval Boundaries and Frontiers' (2018). Their research is further disseminated via the Medieval Midlands WordPress site, founded 2016 by PGR students as an online hub for M4C medievalists across the Midlands; and in *Midlands Historical Review*, an online, OA, interdisciplinary publication, founded 2017 and part-funded by the Department. History PGRs take the leading role in this journal's management.

**PGR career development** is nurtured through the Researcher Academy, which ensures policy and training are mapped to the Researcher Development Framework, Research Council's Statement of Expectations for Postgraduate Training, and QAA Quality Code (see REF5a 3.3). The Arts training offer conforms to the AHRC's 'Research Training Framework'. The PGR offer includes research methods training, research integrity and ethics training, sessions on CVs, preparing for the viva and writing skills. Language courses are also available, with the Department providing particular support for Latin. Each student's training needs are evaluated at the outset of their study through the Faculty's 'Training Programme Development Needs Analysis'. Training is recorded, and each student's evolving needs monitored as part of a Research Portfolio discussed at their Annual Review. In line with principles 3 and 5 of the Concordat to Support the Career Development of Researchers, our PGRs are supported by an extensive range of careers advice from both the Researcher Academy and University Careers Service. Preparation for future careers also includes a bi-annual ECR event led by CAS on postdoctoral fellowships and first grant capture. M4C students have access to an external partners' scheme that enhances their transferable and employability skills; and other PGRs to the Researcher Academy's award winning 'Postgraduate Placement Programme' (see REF5a 3.3). PGR career development is enhanced by participation in



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the Department's Associate Teachers' Programme. More advanced students are supported in presenting papers to our research seminar and in delivering papers at conferences.

This training has enabled our PGRs to take on editorial positions and to **publish their research**. As a result 7 PGR students have taken on assistant editorial positions with academic journals, including *JBS*, *Nottingham Medieval Studies* and *Peripheral Histories*, and most of our PGR students publish on aspects of their research during their PhD enrolment, often with direct support from the department. 8 PGRs have published their theses as monographs (e.g. Phipps, *Medieval Women and Urban Justice* (MUP)).

The success of these support structures is evidenced by the **further careers** of our students. Examples of recent PGRs who secured full-time posts or postdoctoral fellowships include: Nicholson, Associate Lecturer, Derby; Hearne, Leverhulme ECF, Durham; Hefferan, Teaching Associate in Medieval and Early Modern History, UoN; Marsh, Roy Foster Scholarship in History and Culture of Ireland, Hertford College, Oxford; Parfitt, Teaching Fellow, Loughborough; Piana, research fellow, Genoa; Ramsay, Assistant Professor, Bournemouth; Mallart, postdoctoral fellow, Universitat Pompeu Fabra, Barcelona. Other careers building on PGR experience include: Henry, Research and Knowledge Exchange Fellow, UoN; Rogers, Visitor Assistant, McManus Museum, Dundee; and Rowson, Sputnik News Agency, Moscow.

### 2.3) Promotion of equality and diversity

#### i. Staff

At the REF census date, the Department had 42 research staff: 64% men, 36% women and non-binary; also comprising 7% BAME; 21% international; and 7% with a declared disability. 37 were full-time permanent, 3 were 0.2-0.8 permanent, and 2 fixed-term, leading to permanent posts. There were 6 Professors (3 men: 3 women), 15 Associate Professors (10 men: 5 women) and 18 Assistant Professors (13 men: 5 women). 2 were ECRs (one man: one woman). 3 of the last 5 heads of department were women. A body of emeriti enriches our research culture: e.g. Heywood, McHardy, Jones, Meller, Wrigley.

The UOA follows the University's Equal Opportunities policy, which addresses all protected characteristics in contexts like staff recruitment, promotions and workplace behaviour, and the more wide-ranging **EDI Strategic Delivery Plan**, which embraces caring responsibilities, socio-economic background, temporary disability and intersectionality. Staff accrue the right to enhanced maternity pay after the first year of employment. Mechanisms are in place to support staff with health problems, and to assist in returning from a period of ill-health, including occupational health meetings, flexible timing of return to work, short- and long-term reductions to workload, and other reasonable adjustments. **Well-being** has become a central aspect of the ADC process, supported by the University's Wellbeing Programme. This REF period has seen EDI embedded in Faculty and School governance. The School of Humanities submitted an Athena Swan Bronze application (awarded October 2020), under the leadership of Badcock, and established a School Equality, Diversity and Inclusion Committee in 2019, co-chaired by Badcock, and including Gust. The UOA's EDI awareness has benefitted by Gust's membership of the Royal Historical Society's LGBT+ working party from 2019 (leading to the publication of an Equality Report, September 2020). As co-Director (2017-20) of UoN's Centre for Research in Race and Rights, Gust organised Black, Disability and LGBT+ History Months and other events promoting awareness of diversity, some in conjunction with the UOA's Institute for the Study of Slavery (ISOS).

#### ii. PGRs

The Department's diversity stems from recruitment, funding and support procedures in accordance with the University's Equality and Diversity Code. Where PGR students disclose disabilities, we liaise with the University's Academic Support unit to ensure that appropriate adjustments and support are provided. Student wellbeing is promoted locally via pastoral support from supervisors

## Unit-level environment template (REF5b)

(who act as Personal Tutors), our Director of Graduate Studies, a School Student Support and Wellbeing Officer, the Faculty's trained Mental Health First Aiders, and the University's policies on PGR adoption, maternity, paternity and sick leave. Our commitment to inclusivity is shown by the diversity of our PGR community. Of our 68.55 (FTE) completed PhDs, 54% were women, 12% international, 6% non-white, 4% gay or bisexual, 14% with a declared disability. In 2019-20 our PGR community comprised 47 students: 24 (51%) women.

Our commitment to EDI is shown by our collective (staff and PGR) research contribution to global issues of equality, diversity and inclusion (e.g. Law's research on fertility, race and Apartheid in South Africa; Gust's work on race and identity in the British Empire; Cocks' work on homosexuality in the 20<sup>th</sup> century; and Merton's work on ethnicity in the USA).

### iii. Construction of outputs portfolio

The selection of our outputs portfolio followed the University's Code of Practice. Reviewers all undertook Unconscious Bias training; outputs were read by two internal reviewers using a proforma based on Guidance on Submissions; outputs authored by women had at least one woman reviewer; calibration was achieved by the REF coordinator meeting with reviewers or asking a third to adjudicate. The REF coordinator then compiled a list of the highest internally-ranked outputs, and checked the proposed submission against all protected characteristics, followed by appropriate re-reading. Allowing for double weighting, this process has resulted in a selection of submitted outputs that represent all age ranges (26–35 (12%); 36–45 (29%); 46–55 (40%); 56–65+ (19%)) and job families (level 5: 45%; level 6: 36%; level 7: 19%), with women and non-binary colleagues submitting **36%** of outputs, in line with the Unit's overall gender ratio. Besides that which is required by the rubrics, the UOA's only criterion for including research outputs is their scholarly merit.

## 3. Income, infrastructure and facilities

### 3.1) Income

In 2013-20 the Department has continued to substantially increase its **research income** figures. This has been achieved by implementing a robust strategy that supports award holders by providing additional research time in the workload planning of 50% above the time allocated on the grant. We have supported colleagues during the application process by providing internal peer review by experienced staff, and a dedicated School Research and Knowledge Exchange Fellow who assists in the scoping of bids and identification of research partners.

The Department secured awards of £3,911,900 (**income £3,490,100 in period**) from a total award value of £5.1M. This is a significant increase on REF08 with income at £686k, or £114k p.a.; REF2014 with income at £755k, or £150k p.a. During the current census period, our income has been on an upward trend: 2013-14: **£159k**, 2017-18: **£805k**, 2018-19: **£998k** and 2019-20: **£724k** plus new awards confirmed but starting beyond assessment period (e.g. Greenwood and Hornsey AHRC Standard -AH/T008741/1: **£706k**). We have achieved a high percentage of income earned from the Research Councils and other government bodies, both within and outside the UK.

Since 2013, grant capture has enabled the Department to deliver on key strategic priorities and to: **embed impact into its research, extending both reach and significance; forge transdisciplinary, collaborative research projects; and strengthen the department's reputation as a centre of collective and individual research excellence.** External research funding gained in this REF cycle has directly facilitated major published outputs, including: Badcock, British Academy Small Grant - *A Prison Without Walls* (OUP); Baron, AHRC – *Displaced Children in Russia and Eastern Europe* (Brill); and Greenwood *et al* AHRC Standard Research Grant – *Florence Nightingale at Home* (Palgrave). Equally, significant KE and impact has been enabled such as public participation in research (Beckett, Gaunt), museum and exhibition development (Appleby, Beckett, Gaunt, Greenwood, Umbach), and engagement with teaching and

## Unit-level environment template (REF5b)

learning professionals in schools (Greenwood, JTaylor, Umbach). Indicative examples of externally-funded research include:

- Appleby, 'Petitions of Maimed Soldiers and War Widows of the Civil War (AHRC: income of **£103,666** from **£118,100** award)
- Beckett, 'Centre for Hidden Histories of the First World War: Community and Commemoration (AHRC: income of **£183,700**, from **£744,800** award)
- Greenwood, 'Florence Nightingale Comes Home for 2020' (AHRC: income **£203,900**, from **£677,000** award)
- Harvey, 'Making Women Work: Gender, Race and Labour' (Leverhulme Major Research Fellowship: income **£142,800**, from **£155,300** award)
- JTaylor, 'Cultures of occupation in Twentieth-Century Asia' (ERC: income **£1,031,100**, from **£1,366,000** award)
- Umbach, 'Photography as Political Practice in National Socialism' (AHRC: income **£204,700**, from **£578,700** award)
- Young, 'Anglo-American Relations and the "Intermestic" 1977-81' (Marie Curie: income **£217,200**, from **£176,100** award)

In contrast to earlier periods, when income often depended on awards derived by a few senior staff, we have successfully enabled grant capture across different career stages, with 22 staff in the Unit securing external funding since 2014 (41% women). The strong departmental ethos of research grant capture is also reflected in the fact that over 75% of staff (32) have applied for at least one grant in this period (34% women).

### 3.2) Internal Research Investment

The Department's research and impact has been supported by UoN's investment infrastructure including:

i) **Institution-wide investments in interdisciplinary RPAs and IRCs** (REF5a 2.1a). Greenwood's engagement with the Future Foods Beacon led to her interdisciplinary award with Biosciences (1.2.iii); and her co-leadership of **Health Humanities RPA** led to the AHRC project, 'Nightingale Comes Home for 2020', (PI Crawford, Health Sciences). Greenwood is on the leadership board of the **Improving Health and Wellbeing IRC** and Umbach co-leads the **Culture Matters IRC**. A total of £57,206 was awarded to staff from the RPA and IRC research areas (Arnold, Auerbach, Greenwood, Wilson). UoN support for the recruitment and development of excellent ECRs led to the **appointments of two NRFs** (Law and Thum; REF5a 3.2).

ii) At Faculty level, the **PVC's Research and Impact fund** supports additional research/impact leave to enable projects to be progressed/completed (see 2.1), **Research and Impact Excellence awards** support the completion of projects, and **Arts Impact Accelerator awards** (e.g. Gaunt's academic residency partnership support) support new collaborations with non-academic partners.

iii) The School's **Research and Impact Incentivisation fund** (see 3.3) supports colleagues with additional teaching relief to develop, deliver or finish projects.

### 3.3) Infrastructure and Facilities

The Department's leadership of institutional improvements in **scholarly infrastructure for interdisciplinary, collaborative research and KE** is described in 1.1 and 1.2.iii. Our staff have actively engaged in research collaboration with UoN's international campuses (e.g. Umbach's visit to UNMC in support of Ahmed's project 'Malaysia Imagined' (2019); JTaylor's work with the Institute of Asia Pacific Studies at UNMC (2019); and Wilson's collaboration on a publication with Ch'ng from UNNC). The Unit hosts three Research Centres: ISOS (director: Haggerty), the Centre for the Study of Political Ideologies (CPI) (co-director: Umbach) and the CHH (director:

## Unit-level environment template (REF5b)

Beckett). These centres, together with other research networks, including Medieval Heresy and Dissent and the Institute for Medieval Research (see 4.1) promote research collaboration through seminar series, workshops, panel discussions, lectures and conferences. They have provided the intellectual framework for publications and major research and KE/impact projects (e.g. AHRC-supported Photography as Political Practice; AHRC-supported Hidden Histories of the First World War) resulting in, for example, the Holocaust and MOOC ICSs and wider partnership with British Library (through CPI) and over a dozen KE projects with community and other organisational partnerships led by Beckett (CHH - <http://hiddenhistorieswwi.ac.uk/>).

Our funding success is facilitated by the **Centre for Advanced Studies in the Arts and Social Sciences (CAS)**, which provides expertise in identifying funding opportunities, developing applications and project planning. CAS has worked closely with History to develop our portfolio of KE and Public Engagement (PE) activities. These include: partnership-building with the Nottingham Museums and Galleries Service (PI Gaunt) and with the National Trust, resulting in sponsorship of a research assistant and collaboration with Southwell Workhouse (PI Badcock); and community engagement activities funded by the Connected Communities Programme (PIs Beckett and Gaunt). Umbach received support from the Digital Research team to work with Microsoft, drawing on AI to develop automated image reading for Holocaust photos. In 2020-21 the CAS team will be expanded to establish a dedicated Faculty research support team. We are also supported administratively by the School of Humanities Management and Research Office, whose duties range from handling PGR admissions and monitoring PGR progress, to providing advice on the costing and staffing of research projects.

The scholarly **infrastructure supporting research and impact** includes excellent access to academic resources. In the REF cycle over 7,000 new printed History titles have been added to the Hallward Library collection (the main library for Arts' researchers). University research resources of particular relevance to staff and PGRs are the **Manuscripts and Special Collections** holdings, including the Portland (Welbeck) and Newcastle (Clumber) collections, and Nottingham Archdeaconry Records. In partnership with the library, the Department has continued to grow its collections of e-resources, acquisitions include: *State Papers Online Parts 1 & 2*; *Migration to New Worlds*; Readex's *African American Newspapers* series, Gale Cengage's *Archives of Sexuality and Gender: LGBTQ History and Culture since 1940*; and the digital *Patrologia Latina* of medieval religious sources. *State Papers* has directly supported outputs by Appleby, Merritt and Gehring; *Patrologia Latina* has underpinned Darby and Lutton's research; and *African American Newspapers* Merton's.

The Department benefits from self-contained, well-equipped premises close to the Hallward. Staff have individual offices, and PGRs shared offices, located within close proximity to their supervisors. Staff and PGRs benefit from networked PCs, and access to printers. A computer laboratory based in the Department has a further eighteen PCs. The Department has space for small conferences and workshops. Staff are allocated up to **£1,200 p.a. for conference attendance**, whether overseas or in the UK, and also have access to a **Personal Research and Scholarship Allowance (PRSA) of £600 p.a.**, which may be used for any sort of research expenditure, including archival visits and publication costs. They can additionally bid for £1200 for research funds that require funding over and beyond their PRSA. The School of Humanities provides further facilities (e.g. additional PCs and meeting spaces) and funding opportunities: with **£59,888** of Research and Impact Incentivisation Funds going to Unit staff to provide teaching relief in support of specific research activities including bid applications (Auerbach), project management (Appleby, Umbach), completion of outputs (Dodd) and research travel expenses (Arnold).

The Faculty of Arts provides funding for additional research leave (2.1), and to support CAS and the Digital Transformations Hub (DTH). The latter provides staff and PGRs with resources, expertise and support in using digital methods for learning, teaching and research, including KE. DTH-supported projects include Gaunt's Connected Communities award and Beckett's WW1 Engagement Centre.

**4. Collaboration and contribution to the research base, economy and society****4.1 Arrangements and Support for Research Collaborations, Networks and Partnerships**

The Department has successfully collaborated with HEIs from Europe as well as the USA, India, China and South Africa, and with diverse academic and non-academic, national and international partners, research users and communities. These collaborations, networks and partnerships are underpinned by institutional investments in research Beacons, RPAs and IRCs (REF5a 2.1) and mobility funds such as the International Collaboration Fund (REF5a 4.1); plus Faculty and School pump priming mechanisms for research and KE (see, for example, **3.2**). The International Collaboration Fund was used by Arnold for a collaborative workshop 'The end of coal – and beyond: political, social and cultural perspectives on the history of the coal industry, 1970 to the present', with the fund enabling international researchers to attend the event (£3,635 ICF, matched by CAS: £3,660). The outcome of the event fed into Arnold's outputs (2016 and in press) and started his partnership with the NUM and the development of a plan to preserve the NUM's archives for future researchers (ongoing, with Modern Records Centre, Warwick).

The Department's leadership and involvement in long-standing, cross-School/Department research centres also facilitates interdisciplinary collaboration (**3.3**). The Institute for Medieval Research (IMR) brings together >60 staff, PGRs and PGTs from across the Faculty, with diverse interests in medieval history, literature, theology, philosophy, art and archaeology. The IMR produces the international journal *Nottingham Medieval Studies*, co-edited by Lutton since 2013. Umbach co-directs the CPI (with the School of Politics) and Baron co-led the 'Digital Humanities and Arts Praxis – Interrogating Interdisciplinarity' project (2016), with Carletti (Computer Science) which led to an OA Good Practice Guide. Merritt established Early Modern at Nottingham, which connected researcher in seven Departments. Wilson's museums network (**4.2.i**) has run three workshops, attracting 45 colleagues across UoN, and led to a collaboration with Nottingham Trent University.

**4.2 Relationships with Key Research Users, Beneficiaries and Audiences to Develop Impact**

Our long-standing and newly-forged relationships across the culture, heritage and education sectors enable staff and PGRs to develop impact and enrich our research environment. Key users, beneficiaries and audiences include:

i. **museum and heritage services professionals.** Gaunt's academic residency as 'Curator of Rebellion' at Nottingham Castle underpinned his ICS and further collaborations with Nottingham Museums and Galleries Service (including one post-doctoral residency and two M4C placements). Other consultancies have included Baron for the British Library (BL) exhibition 'Maps and the Twentieth Century' 2016-17; Baron and Badcock as Associate Curators of the BL exhibition 'Russian 'Revolution: Hope, Tragedy and Myths' (2017); Arnold taking a leading role in saving the NUM's archives, in collaboration with Modern Records Centre, Warwick; Umbach serving on the Academic Advisory Board, National Holocaust Centre, acting as an advisor to the Imperial War Museum London, and co-curating the 'Eye as Witness' touring exhibition (2020-); Kumar advising on the permanent exhibition 'Joseph Stevens in Colonial India' (Sweden); Appleby's collaboration with National Civil War Centre, Newark Museum; Balzaretti co-curating an exhibition 'Portofino Landscape', shown in Portofino, Genoa and Nottingham (2018); and 7 exhibitions at the Weston Gallery, Nottingham, including 2 curated by Gaunt ('Charging Against Napoleon: Wellington's Campaigns in the Peninsular wars' 2015; 'Georgian Delights: Life During the Reign of George IV', 2019-20) and 1 by Gust ('Threads of Empire: Rule and Resistance in Colonial India' 2017). The establishment by Wilson in 2019 of a University-wide hub 'Mobilising Museums: Sharing Memories, Curating Spaces and Enabling Engagement', has further supported colleagues working with the museum sector, and has been instrumental in forging links with Museum Development East Midlands and the Museum Agency, as well as many individual museums, which provide solid foundations for future collaboration and research grants. In this REF cycle 5 of our PGR students have secured funding as part of the M4C CDA scheme which has resulted in collaborative

## Unit-level environment template (REF5b)

partnerships with Wollaton Hall, Boots PLC, the British Museum, the British Library and the Imperial War Museum (Doole, Moss, Rogers, Tofts and Carey).

ii. **teaching and learning professionals in schools**, where our annual programme of research engagement is coordinated by our Outreach Officer (Merton). The History Taster Day is our flagship outreach event and has run every year since 2013 using a specific theme as the central organising principle (e.g. diplomacy in 2016; colonial India in 2017; lived experience in 2019). Two history colleagues contribute annually to the research-informed sessions of the Sutton Trust Summer School. The department also holds 4-5 in-school events annually where staff take their research specialisms to school audiences (e.g. CTaylor and Darby on heresy, 2016; Greenwood on Florence Nightingale, 2019). A recent collaboration with the School of Education enabled Merton, Badcock and Holland to actively inform the delivery of Key Stage 3 History.

### 4.3 Engagement with Diverse Communities and Publics

Partnerships formed locally, regionally and internationally have enabled us to engage collaboratively with diverse communities and publics and respond actively to national and international priorities and initiatives:

i. **community-led initiatives**, spanning Nottinghamshire and Derbyshire have been particularly prominent. Highlights include: Beckett's CHH of the First World War, involving nine local community partnerships, and his ICS 'Green Spaces'; Beckett and Gaunt, who have delivered **>120 talks to local history societies**; Baron, who has worked regularly with a local Ukrainian History group on displaced persons; Appleby, who has given talks to the Cromwell Association, Lincolnshire Family History Society and Nottinghamshire Local History Association; Greenwood who has organised two interactive workshops for adult learners using Boots archive materials and acted as a Family Learning Session Leader in a Black community workshop 'Africa: Slavery, Empire, Independence and Mobility'.

ii. **members of the public with an interest in history**. In total, staff have delivered **124 public talks**, excluding the >120 given by Beckett and Gaunt (see 4.3.i), including to: the Historical Association (Badcock, Baron, Dodd, Merton, Appleby); U3A (Dodd and Appleby); the Probus Club and the Rotary Club (Greenwood); and a 2019 public talk on 'Working-Class Education' in Delhi (Kumar). Notable lecture series include Laven's six invited lectures in the US and Italy (2014-18); CTaylor's five public lectures on Magna Carta (2015) and the series of masterclasses on medieval heresy, Nottingham (2014, 2015) by Lutton, CTaylor and Darby. Umbach has designed two joint British Library/UoN MOOCs, 'Propaganda and Ideology in Everyday Life', and 'Learning from History? A Practical Guide for the Curious Researcher'; Beckett's CHH of the First World War has led to 22 projects with community organisations; and Harvey's work on the DFG-funded project 'The Persecution and Murder of the European Jews by Nazi Germany', has utilised social media platforms as well as public presentations (including an event at Yad Vashem, Jerusalem, 2019).

### 4.4 Indicators of wider influence, contributions to and recognition by research base:

One of the Department's principal contributions to the sustainability of the discipline, and evidence of its responsiveness to national and international priorities and initiatives, is our leadership of and participation in the structures of national and international scholarship:

#### i) Membership of Research Council or similar national/international committees

Five staff (Baron, Greenwood, Merritt, Umbach and Gaunt) have served as members of the AHRC Peer Review College (Umbach's contribution was acknowledged as outstanding by AHRC in 2019). Other examples of committee membership include: Auerbach as a US-UK Fulbright Committee alumni and application reviewer; Haggerty on the ESRC Peer Review College, 2016-19; Kumar's membership of the Archaeology, History and Culture Research Committee of the Indian Social Science Congress, 2020; and Greenwood Executive Member (sometimes Chair) of the Boots-Wellcome Scientific Advisory Panel.

#### ii) Involvement on university research advisory panels, or national/ international research or review boards

## Unit-level environment template (REF5b)

Umbach, as Associate PVC for Research and KE (2015-19), served on the University Research and KE Committee and helped shape the UoN's strategy for 2016-20, ensuring that research investment was available to develop Arts disciplines, including History. This led to the RPA and IRC investments in the Unit (ADD CROSS REF). Umbach was the Director of UoN's Research Leadership Programme (2012-16) and the Faculty's Arts Research Leadership Programme (2016-19), supporting academic career development across the Institution and Faculty. Greenwood Co-Directed the Health Humanities RPA and is a member of the Steering Committee for UoN's Wellcome Prime Award, thus enabling the development of Health Humanities expertise across the institution. Several staff also take leading roles on UoN bodies forging our capacity for international research, such as JTaylor and Auerbach on the Asia Research Institute Academic Management Board, with JTaylor additionally being Senior Resident Fellow Taiwan Studies Programme (2016-present) and Academic Lead on the China Visiting Hub (2015-18). Beyond Nottingham, Merritt was assessor/panel member for the M3C DTP, 2015, 2018, 2019 recruitment rounds.

### iii) **Leading positions in subject associations and learned societies**

A principal contribution to the sustainability of the discipline, and evidence of our responsiveness to national and international priorities and initiatives, is our leadership of professional associations and learned societies. Numerous members of staff have served on the councils of academic bodies, including Dodd on the Council of the Lincoln Record Society (2015-19); Merritt on the Executive Board of Pre-Modern Towns Group; JTaylor on the Universities' China Committee Executive Council (2018-present); Umbach, on the management committee of German History Society; Gust and Gehring on the Council of the North American Conference on British Studies; and Merton executive committee member for Historians of the Twentieth Century United States (2015-present). Others have taken on specific duties, such as Darby as UK Graduate Coordinator for the International Society of Anglo-Saxonists (2013-17); or Cobbing and Townsend as Honorary Secretaries, British Association for Japanese Studies, in 2009-15 and 2013-15 respectively. Some have become Chairs of organisations, including Badcock (Research and Development Group of the British Association of Slavonic and East European Studies, 2019-21); Young, British International History Group (2004-17) and Harvey, German History Society (2014-17).

### iv) **Editorial positions**

An important contribution to the research base is our work on editorial boards. **19** staff serve on the editorial boards of a total of **26** academic journals or book series. Some play leading roles as editors of academic journals (Thum, associate editor, *Journal of Asian Studies*; Wilson, assistant editor, *International Journal of Heritage Studies*; and Gaunt, joint editor of *Parliamentary History*) or book series (e.g. Cobbing is editor for Brill's 'Regional Spaces' series; Badcock, series editor of Palgrave's 'Studies in European History'; and Young, co-editor of Palgrave's 'Security, Conflict and Cooperation in the Contemporary World'). Balzaretti, Darby, Lutton and CTaylor have run the Brepol's 'Medieval Church Studies' series since 2017, while Beckett was chair of the journal *Midland History* until 2020. Others are book review editors (e.g. Kwan, *HABSBURG list* (H-Net); Darby, *The Medieval Review* (2013-15); and Gust and Gehring, *JBS* (from 2019).

### v) **External Examining doctorates/MPhils**

During the census period, our academic staff have served as external examiners for **43 PhD and research Master's degrees**, in the UK and Europe.

### vi) **Organisation of conferences and scholarly events**

The Department organises an annual series of research seminars and has hosted meetings of the local branch of the Historical Association. As well as organising sessions within larger conferences (Lutton, Dodd, Darby and Trombley have all co-organised sessions at the Leeds Medieval Congress since 2014), staff have **organised or co-organised over 30 conferences/workshops** over the census period.

### vii) **Refereeing academic publications and research proposals**

Staff have refereed articles for **143 different journals** in the UK and abroad. They have reviewed book manuscripts for over **30 British and international publishers** and have refereed research proposals for **19 different funders**.

**Unit-level environment template (REF5b)****viii) Scholarly Awards/Fellowships**

20 members of staff are Fellows of the Royal Historical Society. Balzaretti and Beckett are Fellows of the Society of Antiquaries. Among fellowships held abroad by our staff: Badcock was Jordan Fellow at New York University (2014); Haggerty was Vietor Fellow at the John Carter Brown Library (2014); Gust had an Andrew Mellon Post-Doctoral Award in Humanities, University of Illinois (2013-15); Cobbing secured Japan Foundation Endowment awards for fieldwork in Japan (2015 and 2018); Trombley was an Andrew Mellon postdoctoral fellow at the Institute of Medieval Studies, Toronto (2016-17); Umbach was Ida Cordelia Beam Distinguished Visiting Professor, Iowa (2016), and Senior Research Fellow, Institute for Contemporary History Munich (2015); Auerbach was Isaac Manasseh Meyer Fellow at the National University of Singapore (2018-19); and Arnold was a Marie Curie Fellow at Freiburg (2019-20).

Scholarly awards secured by staff include: Dodd, Honorary Professor of Hebei University of Technology, Tianjin, China (2016); Badcock, joint winner 2017 British Association for Slavonic and East European Studies Women's Forum best book; Kumar won the German Historical Institute's London Thesis Prize (2018); JTaylor won the John Lent Prize for Best Paper of the Year on Malaysia, Singapore or Brunei, Association for Asian Studies (US) (2018-19); Auerbach had an Honourable Mention for the Sutherland Prize competition (2017); and Thum won three book awards from the American Historical Association, American Anthropological Association and the Central Asian Studies Society (2015).

**ix) Invited keynotes and lectures**

Staff have delivered >**300 papers** at UK university seminars, UK conferences and Historical Association meetings in 2013-20. In addition to these events, we draw particular attention to the following selected keynote addresses, invited or named lectures in the UK: Baron, 'Childhood and 20<sup>th</sup> Century Dictatorships', Warwick; Cobbing, keynote at British Association of Japanese Teachers Conference, 2014; Cocks keynote at Transgressions Conference, Cardiff 2015; Hucker, keynote at Bath Spa, 2018, on 'Constructions and Representations of the Popular Voice from c. 1500 to the Present Day'. Invited appearances at overseas conferences included: Adler keynote at 'Home as a Site of Anti-Jewish Persecution' conference, Paris 2018; Gust, 'British History after Brexit', University of Illinois 2016; Trombley's keynote at the ARDIT International Congress, 2017 (Barcelona); Gehring, invited speaker on Robert Beale, Osnabrück June 2018; and Young, invited speaker at 'Winston Churchill in Peace and War', Paris-Sorbonne 2015.

**x) Networks**

Lutton was a partner in European Cooperation in the Field of Scientific and Technical Research (*Contexts, Strategies and Processes of Religious Transformation in Late Medieval and Early Modern Europe*, 2013-17). He is also a member of 'The Social Church Network', 'Manuscript Miscellanies Group' (2015-18) and 'New Religious Histories Network.' Hornsey is a member of 'Space Between Society' and 'Entangled Relations' networks; Arnold a member of 'The Society for the Promotion of Urban Discussion', and founding member of 'The Coal and Steelworkers' Study Group' network; Cocks is a member of the 'Sex, Culture and Post-War Britain' network based at the University of Reading; Merritt is a member of the 'Investir dans le Sacré: Europe et Amérique IX-XXI siècles' network; Kwan, member of the 'Midlands Central and Eastern Europe Network'; Gust a member of the 'Trans Studies Network', and the interdisciplinary network 'Colonial Spaces, Colonial Power'; Merton is a member of the 'Presidential History Network'; and Law a member of the 'Women's History Network'. Our staff play a leading role in key bodies: Hornsey co-runs the '20s30sNetwork', while JTaylor is Director of the AHRC/GCRF supported China Ports Network, in partnership with Hong Kong Maritime Museum and the China ports Museum.

**xi) Media**

Among staff who have made media appearances are: Badcock, contributed to TV documentaries on the 'October Revolution' (Noahfilms 2017), 'First World War' (WagTV 2017) and 'Russian Revolution' (Demand Media 2008), and was interviewed by the *BBC World Service* on the 'Russian Revolution' (2017) and 'Women Revolutionaries' (2017); Cocks has been interviewed for *Penguin News* (2014), *The Guardian* (2015), *DNA India* (2015) and *The Independent* (2017), and assisted production of 'The Long View', on *Radio 4* (2016); Auerbach has been consultant and interviewee for *The Yesterday Channel* and *Discovery Science Channel*, since 2016; and Umbach was advisor



**Unit-level environment template (REF5b)**

to 'The Rise of the Nazi Party' produced by *World Media Rights* and screened in Europe and Australia. Merton, was interviewed for the *Stylist* magazine on 1960s social movements (2017); and Laven was an invited guest on *In Our Time* on Garibaldi (*Radio 4*). Among our one-off media appearances, Law was an invited guest on *Radio 4's* Woman's Hour, discussing 'Grace Mugabe: The Power Behind the Throne?' (2017); Mawby, spoke on the 'Anguilla Revolution at 50', on *Radio Anguilla* (2017); and Sen was interviewed by web-based *Radio Quarantine Kolkata* on her book *Citizen Refugee* that has an audience amongst Bengali speakers in India, Bangladesh, USA, UK and Canada.

**xii) Advisory Boards**

Highlights include: Harvey's membership of the Scholarly Advisory Committee of the Institute for Contemporary History, Munich (since 2013); Merritt's advisory capacities for the 'Map of Early Modern London' project, Vancouver (since 2016); Hornsey and Greenwood have served on the Boots-Wellcome Scientific Advisory Panel (since 2015); Greenwood has additionally been a member of International Advisory Board for International Health Humanities (since 2016), and an Academic Advisor for Unilever Archives, Liverpool (since 2017); Mawby, has been a member of the Advisory Council for the Institute of Commonwealth Studies (since 2018); and Gust became a member of the Royal Historical Society's LGBT+ working group (from 2019).

**xiii) Collaborative Relationships, partnerships and joint research projects**

On the local/regional level joint research projects included: Townsend's Leverhulme Major Research Project, 'Motor Cities: Automobility and the Urban Environment in Nagoya and Birmingham, c.1955-1973', with Simon Gunn of Leicester (2011-15); Auerbach's involvement in the 'Imperial Midlands' project, with the Universities of Birmingham and Leicester (since 2016); Baron's partnership with UoN Information Services, 'Life Lines' local community history group, Culture Syndicates heritage consultancy and Nottinghamshire Archives on the project 'Curating Online Resources for Engagement and Learning' (COREL; 2016-19); and Greenwood's cross-institutional grant development with the University of Derby and Bishop Grosseteste University, Lincoln ('Women Remembered'). Greenwood has also strengthened ties with local industry through her Wellcome Trust project 'Boots and the Colonial World: Imperial Networks and the Business of Empire' (2016-17).

At a national level, aside from the co-authorship of publications with colleagues from other universities, our collaborations/partnerships include: Greenwood's work with the University of Lancaster on the AHRC-grant 'Cradle of Care: From Industry to Health Organisation'; Gust's Leverhulme-supported project, 'Historicising trauma in colonial and post-colonial contexts' (2017-18), and work with the University of Sheffield on 'Enlightenment, Race and Disability'; and Appleby's role as CI in the AHRC-supported 'Welfare, conflict and memory during and after the English Civil Wars, 1642-1700', collaborating with colleagues from the Universities of Leicester, Southampton and Cardiff.

On the international level our activities include: Harvey and Umbach's participation in the Leibniz-funded project 'Private Life under National Socialism project', based in Munich; JTaylor, was PI on a BA International Partnership & Mobility Grant (2015-18) with the University of Singapore; Greenwood's GCRF/AHRC grant on the history of colonial soil surveys works with partners at the University of Zambia. Auerbach's involvement in the 'Plantation Transformations' project with Tufts University and the International Institute of Social History (Netherlands), since 2018, plus his roles in 'Historical Dimensions of Migration to the Nordic and Northern European Countries', with the University of Turku since 2018 and 'Lost Futures in Britain and the Empire', with the University of North Carolina and King's College London since 2015.