

Institution: University of Nottingham

Unit of Assessment: 18 (Law)

1. Unit context and structure, research and impact strategy

(a) Who we are: ethos, heritage, standing

UoN School of Law, within the Faculty of Social Sciences (FoSS), is a premier UK law school, with an international reputation for inspirational teaching, discipline-defining textbooks, and world-leading contributions to research and scholarship supporting litigation, law reform and public policymaking. Our ethos combines preeminent scholarship with a friendly, inclusive collegiality incorporating all students and staff into a single, cohesive intellectual community. The School excels in all major fields of legal research and scholarship, encompassing doctrinal, theoretical, socio-legal, critical, comparative, national, European, international and interdisciplinary methodologies. These specialisms underpin thriving undergraduate, LLM and doctoral programmes and are consolidated through the School's six research centres and extensive global networks in academe, professional practice, public administration and civil society. Combining scholarly excellence with real-world impact, the School's research seeks to promote peace, democracy, economic growth, social welfare, technological innovation, human rights, social justice, human flourishing, protection of wildlife and the natural environment, and compliance with the rule of law, in the UK and around the globe. Having invested wisely in its people and research culture over many decades, and with the strength-in-depth and agility to exploit new opportunities in rapidly changing research environments, the School is primed to cement its status as a world-leading centre of research excellence, ranked in the top 100 law schools worldwide (QS rank #50-100, 2017-2020; THE rank #73= 2020)

(b) School structures enabling world-class research with global impact

School infrastructure, polices and management nurture researchers' self-directed scholarship, collaboration and networks, whilst aligning with UoN and UKRI priorities (e.g. promoting interdisciplinarity and strategic focus). Whilst a "humanities model" of single authorship remains prominent in legal scholarship, the School recognises the advantages of critical mass afforded by structured collaboration through research clusters and programmatic funding. Leveraging research concentration without becoming excessively prescriptive or blunting creativity calls for a balanced, responsive, evolutionary style of research management sensitive to colleagues' scholarly interests and aspirations, developing talent and building careers at all levels of seniority and experience.

Specialist research centres, benefitting from significant School financial and administrative support, are propelled by individual scholars' initiatives and expertise, providing focal points for collaboration, dialogue, partnerships, mentoring and capacity-building. Most School members are associated with one (or multiple) centres, as amply reflected in REF2 outputs and REF3 Impact Case Studies (though much excellent scholarship and influential research is not directly affiliated to centres). The School's six current research centres have continuously evolved throughout the REF period (www.nottingham.ac.uk/law/research/centres.aspx):



(i) Created in 2018, the Commercial Law Centre (UNCLC) is co-directed by Mevorach and Torremans. UNCLC's 28 academic and student members include participants from UoN Schools of Business (NUBS) and Economics. UNCLC promotes world-class, impactful research, featuring business and human rights; consumer law; corporate restructuring and insolvency; European and international business; international trade and maritime law; international commercial litigation; intellectual property; and secured transactions and finance.

Aligning with the School's popular LLM in International Commercial Law, UNCLC organises multiple seminar series (work in progress: Derclaye and Saidova; student presentations: Saidova), student placements (including a prestigious World Bank Group internship scheme overseen by Ghazaryan and Mevorach). UNCLC provides a focal point for members' extensive advice, consulting, and international working group activity (partnering/consulting with e.g. the United Nations Commission on International Trade Law (UNCITRAL), the UK Insolvency Service, the HMG BEIS, World Bank, UNIDROIT (Beheshti led MoU on research collaboration, 2019), the International Insolvency Institute (III), UK IP Office, European Commission), and organises ancillary events (e.g. students' participation in the 2019 Willem C. International Commercial Arbitration Moot www.nottingham.ac.uk/research/groups/commercial-law-centre/study/internationalcommercial-arbitration-moot.aspx.) UNCLC's Commerce, Law and Policy blog (edited by Wu) promotes awareness of commercial law news and recent developments.

(ii) The Criminal Justice Research Centre (CJRC) was established in 2013, on the initiative of Saunders, incorporating the Criminal Justice Discussion Group (inaugurated and convened by Roberts since 1994). In October 2018 CJRC was reconstituted in interdisciplinary partnership with Sociology and Social Policy, co-directed by Kemp (Law) and Carr (Sociology). Bringing together 40+ UoN staff and students (inc. Philosophy, Psychology, Computer Science and Mental Health) plus 11 external Associates, CJRC hosts multiple research projects, academic exchanges, seminars, lectures and events throughout the year. For example, Professors Ferrer and Vasquez (Girona) spent July-December 2018 in residence as Visiting Scholars (funded by the Spanish Government), contributing to classes and seminars, interacting with doctoral students, and cementing research collaborations. In December 2018, CJRC members hosted a two-day visit from a delegation of Chinese scholars representing the National Institute of Legal Aid, with research presentations by Annable (UK Legal Aid Agency), Flues, Kemp, Jackson, McConville (UoN Honorary Professor), and Roberts.

CJRC research training and mentoring events consciously target PhD students and ECRs. In April 2019 **Kemp** hosted Nuffield Foundation visitors to advertise opportunities, encourage partnerships and develop effective research proposals: Nuffield grants totalling £500,000 were subsequently secured. Strong links to local legal practice are cemented through UoN's Pro Bono Society and membership of the Nottinghamshire Law Society. CJRC's Advisory Board includes senior East Midlands criminal justice practitioners, chaired by HHJ Dickinson QC, Recorder of Nottingham. Inaugurated in 2015, the biennial Sir John Smith Lecture became an instant Centre highlight, with local professionals joining UoN students and staff, attracted by eminent speakers: Dennis (UCL, 2020); Ormerod (Law Commission, 2018); McConville (CUHK, 2016); Sweeney J (2015).



(iii) The Human Rights Law Centre (HRLC), established in 1993, is internationally renowned for its expertise in scholarship and publications, policy research, knowledge transfer, consultancy, capacity-building and training. Co-directors Harris and Nolan, a dedicated administrator (Flues) and project officer team (Auter, Buckley, Wills) co-ordinate 20+ academic members and an international network of fellows, partners and consultants. Core operational units include: Business, Trade and Human Rights; Economic and Social Rights; European Human Rights Law; Forced Migration; and International Criminal Justice. Specialist human rights teaching figures prominently in undergraduate and LLM programmes. Two serving judges of the ECtHR are HRLC alumni (Martins, Latvia (LLM graduate) and Harutyunyan, Armenia (Chevening Fellow) also Pushkar (LLM graduate), current Ukrainian nominee), alongside four UN Human Rights Special Rapporteurs/Working Group members: Babiker, Hussain and Obokata (all UoN PhDs); Steinerte (LLM).

HRLC's prolific contributions to commissioning, delivering and disseminating research are strongly represented in REF2 and REF3. In 2018, HRLC was retained (for the fourth time) as national contractor for the EU Fundamental Rights Agency, completing 38 reports 2014-19, ranging from short desk reviews to extensive fieldwork projects. Other major research partnerships and collaborations include: GCRF-funded *Rising From the Depths Network*, with Kabarak Law School (**Davitti**, **Wills**); cluster leader of *FRAME*: *Fostering Human Rights in EU Internal and External Policies* (EU FP7: **Kenner, Nolan, Footer, Ghazaryan**); developing the Cooperation and Judicial Assistance Database (CJAD), 2013-17 (EIDHR) (**Bekou**).

HRLC produces the market-leading *Human Rights Law Review* (edited by **Harris** and a School team: see §4(b), below) plus influential series: *International Human Rights Reports*; *Yearbook of the European Torture Convention*; and *Nottingham Studies on Human Rights* (Brill Nijhoff) (six volumes since 2014, three arising from HRLC workshops). HRLC hosts year-round lectures, conferences and multiple workshops, including the ever-popular, student-organised Annual Student Human Rights Conference: the twenty-first iteration (2020) attracted 100+ UK and international delegates. HRLC's keynote Annual Lecture attracts internationally renowned speakers and worldwide attention: Tedros Adhanom Ghebreyesus (Director General of WHO) (2020); Baroness Helena Kennedy (2019); Kate O'Regan (Director, Bonavero Institute Oxford, 2018); Martha Spurrier (Director of Liberty, 2017); Mr Justice Singh (2016); Ahmed Shaheed (UN Special Rapporteur, 2015) and Stavros Lambrinidis (EU Special Representative, 2014).

HRLC offers CPD training and capacity-building for external stakeholders, including: UK Foreign and Commonwealth Office (FCO) 2012-2019; British Armed Forces Lawyers (annual intensive course); legal practitioners (e.g. social rights litigation for UK practitioners in 2020; disability and asylum law for Russian lawyers in 2018; training in IHRL for Iranian judges 2014-15; and Bahrain's National Commission for Human Rights 2014-16). Lawyers, human rights NGO workers and others participate in residential IHRL short courses and online distance learning, with long-stay visitors including Turkish Constitutional Court judges (Eren in 2018; Basturk in 2017) and scholars from Brazil (Blotta, São Paulo University) and China (Guo, Shanghai).

(iv) The Nottingham International Law and Security Centre (NILSC), led by Kritsiotis, Shucksmith-Wesley and White, was established in January 2013, following a highly successful ILA conference and the appointment of Schmidt (now Lecturer, Exeter) to a School-funded postdoctoral research fellowship. Embracing human, political, military, socioeconomic, resources and energy security, NILSC consolidates pre-existing School expertise in armed conflict and collective security (White edits OUP's Journal of Conflict and Security



Law), and embeds interdisciplinarity. NILSC has 16 academic and 14 research student members drawn from the schools of Law, Politics and Sociology.

NILSC's active programme of events – regular work-in-progress seminars, workshops, conferences, the prestigious Annual IHL Lecture and British Red Cross-sponsored Roundtable (featuring expert feedback on LLM student presentations), a visiting Research Fellows programme, hosting Schmidt (Exeter), Senior (Leeds), Elliott (ANU), Wittmer (Humboldt Berlin), and O'Donnell (Strathclyde) – facilitates discussion, networking, collaboration and dissemination of new research and scholarship. Other visitors include prominent scholars, e.g. Roach (Toronto); Stubbins-Bates (SOAS), Goodwin-Gill (Oxford), Weill (Sciences Po) and Hampson (Essex); and influential practitioners, e.g. Prost (ICC Judge); Ramasatry (UN Working Group on Business and Human Rights); Pejic (Legal Advisor ICRC); Shaheed Fatima QC.

NILSC activities create, develop and sustain global research networks, support successful funding bids (e.g. €100,000 from the Netherlands Organization for Scientific Research (NWO) for Security of Transnational Flows of Natural Resources in Indonesia, 2016-18) and generate high quality outputs with global significance (see REF2).

(v) The Public Procurement Research Group (PPRG), directed by Arrowsmith (from 2020, La Chimia), is a world-renowned research centre and pioneer in UK and international teaching, research and knowledge transfer on procurement regulation and policy, positioned at the cutting edge of public procurement scholarship for over two decades. With eight academic staff, four Honorary Professors (drawn from industry and international organisations), four international Fellows (academics and civil servants from Italy, Poland and South Africa), ten PhD students, and fluctuating ad hoc research assistants, PPRG specialises in all aspects of public procurement law (including defence, trade and commercial sectors, social and environmental dimensions, development, and anti-corruption policy) and conducts doctrinal and empirical research on domestic, EU and international (WTO, UNCITRAL and World Bank) procurement systems. In addition to showcasing its members' landmark publications in the field (see REF2), PPRG is a focal point for funded – often interdisciplinary - research (see §3, below), policy briefings, consultancy advice, building partnerships across academia, legal practice and regulation, KE and impact. Its Executive LLM Programme in Public Procurement Law and Policy is another internationally significant interface between academic research, policymaking and legal practice.

PPRG produces the flagship *Public Procurement Law Review*, co-edited by **Arrowsmith** (founding editor) and **Butler** and their Editorial Board team (see §4(b)). Encapsulating its KE mission, PPRG hosts the biennial *Public Procurement: Global Revolution* conference, bringing to Nottingham several hundred academics, regulators, NGOs, judges, lawyers, procurement professionals and network partners from around the world. The 2019 ninth meeting featured 40+ workshops, partnering with the WTO Procurement Lawyers Association; UK Cabinet Office; Crown Agents; European Commission; OECD; UN; World Bank; African Procurement Law Unit, and the universities of Dongbei, Durham, George Washington, Łódź, Stellenbosch, Stockholm, Tartu, Twente, Utrecht and the Catholic University, Portugal. In addition, PPRG's Annual Seminar Series showcases external and UoN (including student) speakers (e.g. summer 2020 free-to-attend Webinar series on Brazil's potential GPA accession, in conjunction with the Brazilian Institute of Public Law and local lawyers); ad hoc workshops, often in collaboration with other institutions (e.g. *Efficiency and Integrity in Sport Procurement for the Milan-Cortina 2026 Winter Olympics*, Milan 2019; and Nottingham-based workshops on Defence Procurement, State Capture and Data (2019): and Procurement and Human



Rights (2017). The 8th biennial two-day PhD workshop, with external and UoN academic discussants, convened in 2018. PPRG's prestigious visiting scholar scheme hosted 23 visitors from 17 different countries during the current REF period.

(vi) The Treaty Centre, founded in 1983 and directed by Bowman until his retirement in 2020, fosters research on the law of treaties, the practice of treaty-making and treaty-based areas of international law. A major project on the Vienna Convention on the Law of Treaties, assembling a global team of scholars and practitioners and employing an innovative collaborative methodology, exemplifies the Centre's ground-breaking research. One prominent recent publication is Bowman and Kritsiotis (eds), Conceptual and Contextual Perspectives on the Modern Law of Treaties (CUP, 2018), addressed to academic and practitioner audiences, which was launched at an FCO-hosted workshop in London in 2019: www.nottingham.ac.uk/law/news/2019/launch-of-conceptual-and-contextual-perspectives-on-the-modern-law-of-treaties.aspx.

School research groupings are buttressed by UoN initiatives and Faculty structures, notably the 'Rights, Justice and Global Challenges' interdisciplinary research cluster (co-directed by **La Chimia** 2019-) of UoN's *Global Research Themes* and the Beacons of Excellence (Rights Lab: **Kenner**, **La Chimia**, **Peake** (PhD); Future Food: **Hyde**) (Institutional ES, §2.1). These clusters build on previous incarnations of institutional research support, including the Rights and Justice Priority Area (co-lead **La Chimia** 2017-19; **Nolan** 2015-18).

Administratively, the School Research Committee (chaired by **White**) leads on developing, implementing and monitoring research strategy, and is tasked with horizon-scanning and channelling information on research opportunities (organising ad hoc seminars and workshops and cascading emails daily). It meets termly or as required, reporting directly to the School's Finance and Planning Management Committee and informing wider policy discussion at quarterly School Meetings. Research Committee liaises closely with School and Faculty research ethics committees (**Saunders**, **Bartlett**), the School Outputs Reading Panel (**Bailey**, **Bartlett**, **Bekou**, **Derclaye**, **Frisby**, **Ghazaryan**, **Krtisiotis**, **McCorquodale**, **McGoldrick**, **Mulgrew**, **Roberts**, **Rotherham**, **Torremans**, **Tosato**, **White**) and REF Team (**Roberts**, **White**, **Bekou**, **Kemp**, **Mulgrew**, **Appleton**), and Faculty RKE Board.

(c) Research and Impact Strategy

The School aims "to build on its recognition as a leading academic centre for legal research and knowledge exchange, consistently ranked well within the top 10 legal research centres in the UK, by developing its growing reputation on the world's stage reflected in publications, theses, collaboration projects, editorships, peer reviewing, impact, funded research and other indicators of esteem" (School of Law Research and Knowledge-Exchange Strategy 2018-20). In the firm belief that research quality and impact reflect the exceptional scholars who produce it, the School's enduring strategy remains: to recruit and retain proven academic leaders and talented ECRs and empower them to produce intellectually rigorous, socially progressive, world-class scholarship.

This overarching principle informs policies and structures:

(i) fostering an inclusive, enabling, dynamic and responsive research environment. Historically, innovation and excellence have been driven by individual scholars' research



interests. In order to sustain this inclusive dynamism and the broad coverage of specialist expertise rightly expected of a global law school, it is vital that top-down initiatives (benefiting from critical mass, and alignment with institutional and national research priorities) are tempered with space and support for bottom-up, researcher-led scholarship. Legal scholarship's most precious resource being *time*, teaching allocations, staff-student ratios and routine study leave (see §2(b), below) are essential components of a *research* strategy. School of Law policies produce balanced and realistic workloads enabling colleagues to integrate their research and teaching (e.g. undergraduate electives and bespoke LLM options), taking particular account of the needs of ECRs and fair expectations of academic leaders, who both mentor less experienced colleagues and lead by example. EDI policies and structures (§2(d), below) reinforce inclusivity, underscoring the unequivocal message that all researchers, as well as all kinds of research, are welcome here.

- (ii) blending global reach with local significance: A global law school must not neglect its local constituencies and stakeholders. Whilst much of the School's research activity and impact are truly global and (literally) international (see REF2 and REF3), law and law reform are often jurisdiction-specific, and call for local expertise. The School builds on an enviable track-record in advancing English law reform, pioneered by Sir John Smith. Alongside colleagues' international, comparative, European, and UK portfolios (see §§3-4), contributions to the administration of justice in Nottingham/shire include: CJRC's collaboration with Nottingham Autism Police Partnership (facilitating projects with autistic coresearchers); HRLC's work with the Nottingham Refugee Forum; collaborations with NTU scholars (including a major social impact study aiming to improve the lives of Nottinghamshire residents); and active links with the local profession. We aspire to perform on the international stage without becoming citizens of nowhere.
- (iii) enhancing research impact and public engagement. Legal scholarship and teaching train the next generations of legal practitioners (and law teachers and scholars), inform litigation and propel law reform. Cementing its own proud tradition, the School aspires to "ensure maximum legal and social impact through reaching out to disciplines beyond law and taking law beyond the academy (changing laws, changing lives)" (Research and Knowledge-Exchange Strategy 2018-20).

"Impact" is seamlessly woven into structures and processes. Teaching and PGR supervision are enriched by cutting-edge scholarship, empirical research and policy engagement, inculcating practical research skills and ethical good judgement in graduates entering the legal professions or further studies. Effective School administrative structures, overseen by Research Committee (linking into Faculty and UoN frameworks, including UoN's Institute for Policy and Engagement) supply institutional scaffolding and policy direction. **Bekou**, **Georgopoulos**, **Hyde**, **Kemp**, **Mulgrew**, and **Munro** have enrolled in ESRC's Impact Leaders Programme.

Open Access (OA) policies promote wider dissemination (also Institutional ES, §2.3; subscription to DORA), through School support for Gold and Green OA publication, deposit of 'accepted versions' in UoN's RIS repository (superseding Nottingham ePrints from 2018) and engagement with 'Plan S'.

Research impact flows organically from researchers' extensive international networks, consultancies and research partnerships (see §4, below). The School's research centres are public engagement hubs, through conferences and networks (e.g. the PPRG's Procurement Law Academic Network, funded by long-term partner Achilles, Siemens,



private law firms, and the Chartered Institute of Purchasing and Supply, has 600 associates from industry and the professions), periodic newsletters and websites (e.g. HRLC: www.nottingham.ac.uk/hrlc/index.aspx), blogposts (e.g. contributions to *EJIL: Talk!*, *Opinio Juris* and *Arms Control* by members of NILSC: www.nottingham.ac.uk/research/groups/nottingham-international-law-and-security-centre/index.aspx) and active social media presence (e.g. Facebook, Instagram and Twitter accounts @UoN_Law, @CLC_uon, @UoNCJRC, @UoNHRLC, supplemented by prolific individuals, notably **Kenner** and **Nolan**).

Honorary Professors actively contribute to the School's intellectual life, though occasional visits, *ad hoc* seminars and lectures, and informal mentoring. They include senior judges (Singh LJ, Sweeney J), former ECtHR President Judge Bratza (2015-17), JAG Blackett and leading practitioners (David Foxton QC; Susie Smith).

The School's Research Development Panel oversees staff research plans, providing constructive advice on a continuous basis. Necessary REF preparations have been harnessed as learning opportunities for embedding strategic good practice (e.g. utilising the Outputs Reading Panel to offer intensive mentoring in the production of world-class publications, and supporting the development of potential Impact Case Studies to maximise impact and public engagement from their inception). More concrete initiatives include: appointment of Principal Research Fellow **Kemp** in 2014 to develop the School's portfolio in empirical research methods, with tangible success in grant applications (see §3, below); appointment of dedicated Impact Coordinator (**Bekou**) to oversee the development and production of Impact Case Studies; recruitment of two Nottingham Research Fellows (**Appleton** in 2019; **Kattan** in 2020: well-represented in REF2); ongoing School support for post-doctoral research fellows; adjustments to individual workloads, accelerated study leave and supported applications to the ESRC Impact Accelerator Account, leading to awards for **Appleton**, **Bekou**, **Holloway**, **Kemp**, **Mevorach**, **Mulgrew** and **van Zyl Smit**.

(iv) supporting methodological pluralism and interdisciplinarity: Methodological pluralism is a hallmark of modern legal scholarship, much of which could be characterised as "interdisciplinary". The School of Law embraces innovative approaches alongside more traditional forms of legal scholarship. REF2 showcases doctrinal, theoretical, empirical, socio-legal, comparative, critical, historical and explicitly interdisciplinary studies of law, legal process and legal institutions, demonstrating the existence of a stimulating, dynamic and highly productive research community. Exemplars of ongoing interdisciplinary scholarship within the School include: (constitutional) law and politics (Bailey, Bassok, Mowbray); law and business (Arrowsmith, Beheshti, Bennett, Cartwright, Frisby, Georgopoulos, McCorquodale, Mevorach, Wang, Wu); law and intellectual property (CREATe global network: Derclaye, Torremans, Tosato); law and criminology/penology (Appleton, Fraser, Jackson, Kemp, Mulgrew, Roberts, Saunders, van Zyl Smit); law and economics (Rotherham); law and environment (Davitti, Goodwin, La Chimia); law and finance (Bennett, Merovach, Saidova); law and food (Hyde); law and history (Cercel, Foster, Fraser); law and international relations (Bekou; Kritsiotis; McCorquodale; McGoldrick; Masiko, Milanovic, Mistry, Shah, Shucksmith-Wesley, van der Ploeg, White); law and medicine/mental health (Bartlett; Munro; Sandland); law and philosophy (Cercel, Fraser, Roberts, Rotherham); law and psychology (Mevorach); law and social policy (Kenner; Nolan: Academic Lead of UoN Children and Childhood Network; Sandland); law and statistics (Roberts); law and technology (Derclaye, Hyde, Roberts, Torremans); maritime law and cultural heritage (Bennett, Goodwin).



(v) safeguarding research ethics and data integrity: Outstanding scholars are selfmotivated to devise, design and conduct ethical research. School and UoN structures buttress existing expertise, provide supplementary training, and undertake ethical review and ensure robust data management for particular projects, in compliance with best practice mandated by RCUK and learned societies (e.g. SLSA, BSC) and in accordance with broader institutional commitments (Institutional ES, §2.4). Research ethics are addressed in the bespoke Research Methods in Law module compulsory for all new PhD students. Research projects with human participants must obtain ethical approval from the School's Research Ethics Committee (REC) (chaired by **Saunders**, also School representative on University REC). All staff and student research activity is run through the School's REC machinery, and research planning and development are fully integrated into annual staff appraisals. Albeit essentially pro forma for virtually all student (i.e. library-based) research below PGR level, mandatory ethics review inculcates good research habits for those progressing to higher degrees and underscores for all students the centrality of ethical (and methodological) rectitude in research. Incidental to its regulatory functions, REC provides advice to scholars and students on research design, methodology and ethics. These formalised structures supplement the spontaneous collegiality, shared experiences and routine mentoring integral to a flourishing academic community.

(d) Strategic vision: leveraging tradition

REF2014 prescribed seven "key developmental objectives for the next five years":

- (i) maximise the production of original, ground-breaking world-leading or internationally excellent research;
- (ii) maximise research student numbers (target: 10 new enrolments per annum) whilst enhancing the environment for doctoral research;
- (iii) strategically develop our postdoctoral community;
- (iv) further enhance collaborative research networks, institutionally, nationally and internationally;
- (v) increase the number, quality and value of external research funding applications (target: 10% increased income);
- (vi) enhance mechanisms for publicising research activities and disseminating findings (inc. exploiting new media), with a view to maximising positive external influence and impact;
- (vii) be flexible, adaptable and forward-looking in identifying new research-related opportunities.

All seven objectives have been achieved, or exceeded, within the current REF round. Moving forward into the next review period, they remain a tried-and-tested blueprint to "consolidate [the School's] status as an internationally recognised centre of research excellence" (REF5, UoA20 REF2014).

The 128 outputs returned to REF2 represent c.20% of published work in this REF period. Postdoctoral research (§2(a)) and grant capture (§3) are described below. In summary, the School substantially increased its direct research grant income by 19% (£2.36 million, from £1.98 million reported to REF2014), almost doubling the projected target. Combined external research income from all sources (inc research fellowships, etc) was over £3 million. Approximately 50% of research active staff were involved in externally funded research within the period. Research networks are integrated into the activities of the research centres (above, §1(b)), and further illustrated in §4.



External influence, impact and public engagement pervade our strategic vision (§1(c)(iii)), and are demonstrated in REF3 Impact Case Studies, the work of the School's centres (§1(b)), and in a galaxy of social and disciplinary contributions, radiating from the local to the global (§4). **Bekou** was awarded UoN's Knowledge Exchange and Innovation Award for Societal Impact (2014); **Holloway** won ESRC's Future Promise Award (also Outstanding Early Career Impact Award runner-up) 2019.

School research activity after March 2020 was inevitably disrupted by the global pandemic. Resources were diverted to prioritise teaching and student welfare. UoN has already implemented a "Covid recovery strategy", with the expectation that temporary measures (e.g. suspension of study leave) and consequential impacts (e.g. 50% drop in PGR recruitment in 2020) will shortly be reversed. It is testament to the range, depth, flexibility and resilience of scholarship across the School, that within months of national "lockdown" a dozen ongoing research projects (including externally funded and empirical research) were able to pivot to accommodate Covid-19 dimensions (Beheshti, Cercel, Frisby and Mevorach, Jackson and Saunders, Kemp, Kenner, Nolan, Pappa, Shucksmith-Wesley, Toth, White, Wu, and PPRG: McCorquodale, https://www.nottingham.ac.uk/law/research/covid-19.aspx: Butler being awarded a c.£26k AHRC Covid Response Grant, in partnership with DHSC, addressing PPE procurement; Butler, Georgopoulos and La Chimia securing a further £16k in UoN QR funding to accelerate COVID-19 research impact). Covid-responsiveness also had immediate policy applications, e.g. in contributing to DIT/Go-Science, Rebuilding a Resilient Britain: Trade and Aid (ARI WG9, 2020) (Mevorach). Research strategy propelled by scholar initiative and founded on the principle that the best research is devised and delivered by world-class researchers is, demonstrably, a plan for all seasons.

2. People

(a) Staff Profile

At REF census, the School comprised 26 full professors, 8 associate professors, 17 assistant professors, 4 research fellows (55 in REF2, with 51 FTE), 5 teaching associates, 2 skills advisors, an Operations Manager and 9 administrators.

The School's strength-in-depth extends from exceptionally talented junior faculty to global leaders in disciplinary specialisms such as Criminal Justice, Human Rights, Public International Law, International Criminal Law, Public Procurement and Legal Theory. Scholars are provided with the support and space they need to deliver high-quality research in their respective fields of expertise. Researchers (staff and students) work individually and collaboratively in a dynamic, inclusive, enabling and supportive research environment, well-serviced by excellent infrastructure and facilities.

(b) Staffing Strategy and Career Development

The School takes pride in its supportive, researcher-centred structures and processes for staff recruitment, selection and retention, consistent with sector policies and principles (Institutional ES, §3). As stated, the School's staffing policies are pivotal to its entire research strategy. Sustaining an empowering, stimulating and collegial working environment for researchers at all stages of their academic careers, where individual successes become shared inspiration for all, creates a positive culture of achievement enabling the School to attract and retain colleagues who either already are, or have exceptional potential to become, world-leading scholars. Career development and



succession planning are both integral to this strategy. In significant measure, we have 'grown our own talent' and rewarded rapid progression. Nine (of 19) Assistant Professors, and 7 (of 9) Associate Professors appointed since 2014 are School graduates.

Profile, promotions, retention and recruitment: The School's academic staff profile reflects a conscious drive, over several decades, towards seniority and academic leadership, secured through excellent long-term staff retention and an exceptional record of internal promotions (entirely on merit, with no quotas). Momentum generated by pre-REF 2014 appointments has achieved approximately steady-state in total research-active staff (51 FTE returned to REF2021, 47 returned to REF2014). Within the REF period, **Hyde, La Chimia, Mevorach** and **Milanovic** joined 12 other current colleagues in progressing internally through successive promotions from junior lecturer/assistant professor to full chair. **Cercel, Ghazaryan, Goodwin, Mulgrew, Tosato** and **Shah** were promoted internally to associate professor, complementing one strategic new appointment at this level (**Butler**). Formalised career development and mentoring are structured through UoN "Appraisal and Development Conversations", supplemented by the School Research Development Panel (RDP)'s continuous review of individuals' research plans, *ad hoc* seminars and training events, and – above all – through continuous interaction and collaborations with colleagues at all levels of seniority, including international leaders across disciplinary (sub)specialisms.

Flexible working arrangements promote staff retention and EDI policies (§2(d), below) central to UoN's mission (Institutional ES, §2.5, §3.5). Fourteen periods of parental leave were taken by School researchers 2014-2020. The School benefits from flexible joint appointments (Bartlett: 30% share with Nottinghamshire NHS Trust; Todd, University of Canterbury, NZ, variable 0.33/0.2 fractional appointment to spend Autumn Term in Nottingham), and unpaid leave facilitating prestigious appointments (e.g. McCorquodale, Director of BIICL 2008-2018; Mevorach full-time Senior Counsel to the World Bank, 2013-2015) and research collaborations (Roberts, Adjunct Professor, CUPL, Beijing, 2017-19). Balanced teaching and administrative loads ensure that all colleagues can participate in global research networks, including visiting fellowships and shorter academic visits in the UK and overseas. During this REF period, for example, Beheshti secured a postdoctoral grant from the Max Planck Institute for Comparative and International Private Law for a two month residency in Hamburg (2017); Georgopoulos held funded visiting fellowships at the EUI Robert Schuman Research Centre in Florence and the Universities of Castilla la Mancha and Neuchâtel; La Chimia took up funded visiting professorships at La Sapienza of Rome and Milan La Statale universities (plus unpaid visiting positions at the Danish Institute of Human Rights and the universities of Copenhagen and Stellenbosch). Nolan was Visiting Professor at the Université Catholique de Louvain (2015) and in 2017 was Visiting Professorial Fellow at UNSW Law School, Sydney, and a Hauser Senior Global Research Fellow at NYU School of Law. Van Zyl Smit was a Global Professor of Law at NYU (Jan-May 2017). **Appleton** and **van Zyl Smit** were (separately) awarded visiting fellowships at the Max Planck Institute for Foreign and International Criminal Law in Freiburg, Germany, to work on their prizewinning book (REF2). White held a funded Visiting Professorship at Kobe University, Japan (2019).

As a generation of long-serving colleagues approaches retirement (new Professors Emeriti Arrowsmith, Footer, Mowbray and van Zyl Smit remain research-active and engaged with School activities), planned recruitment has secured the appointment of 14 new assistant professors since REF2014 (Bassok, Beheshti, Cercel, Davitti, Foster, Jutte, Mistry, Pappa, Sargeant, Shucksmith-Wesley, Skillen, Thomason, Toth, Van der Ploeg, plus Wu transferred from Contemporary Chinese Studies).



Supporting and developing research careers: A well-managed School, provisioned with efficient support staff, enables academics to focus on their research and other core responsibilities. Teaching, administrative and management duties are allocated transparently and equitably (relative to experience, with full participation at all grades). Whilst the professoriate generally shoulders senior management and leadership roles, assistant and associate professors are encouraged to develop appropriate managerial portfolios to facilitate career progression. Professors contribute to core undergraduate teaching, including small group tutorials. All staff are encouraged to introduce, or coteach, advanced undergraduate and postgraduate modules to develop research specialisms. Programmes and modules are continuously reviewed and refreshed to ensure currency and strategic alignment with researchers' (developing) expertise.

R&T staff are proactively encouraged to take research leave (normally one full semester in every eight), with flexibility to suit opportunities and career plans. Accelerated research leave was introduced in 2012 to facilitate timely completion of substantial projects. Relief from routine School duties to complete major funding applications and projects in this REF period was granted to: **Bekou** 60% (2014-15), 80% (2015-16); **Footer** 10% (2014-16); **Ghazaryan** 5% (2015-16); **Hyde** 8% (20-21); **Jackson** 50% (2020-21); **Kenner** 20% (2014-16); **La Chimia** 10% (2017-22); **Milanovic** 100% (20-21); **Nolan** 5% (2014-15) & 40% (2020-21); **Roberts** 10% (2014-15); **Saunders** 60% (2020-21); **Tosato** 100% (2019-21). Applications for external funding are supported by the University's Centre for Advanced Studies, a dedicated full-time Research Development Manager for Social Sciences, and UoN's internal peer-reviewing mechanisms.

Early Career Researchers (ECRs): Structured support for ECRs is a strategic priority, alongside "develop[ing] our postdoctoral community" (§1(d), above) and expanded recruitment of Assistant Professors. Every ECR is assigned an experienced mentor with corresponding research interests, and fully integrated into School and Faculty research centres relevant to individuals' disciplinary specialism(s). The School's RDP takes particular interest in the research plans of less experienced colleagues, providing continuous feedback on draft outputs and advising on publication strategy. New ECRs have reduced teaching loads, and do not undertake major administrative tasks for at least two years. ECRs are fully represented in the School's committee structures, including Research Committee. UoN's Researcher Academy (ex-Graduate School) offers a dedicated programme of Researcher Development courses. School ECRs attend early career bid-writing 'boot-camps', run by the Centre for Advanced Studies.

Our postdoctoral community was further strengthened by: (i) appointments of **Appleton** (2019) and **Kattan** (2020) to prestigious NRFs; and (ii) creation of two School-funded Postdoctoral Research Fellowships in Law, providing a career 'stepping stone' for talented PhDs to enhance their profile through structured collaboration and advanced mentoring. Both appointees subsequently achieved permanent posts: **Jutte** (UoN 2018-20, UCD 2020-); **Gramcheva** (Middlesex, 2019-). **Holloway** secured an ESRC postdoctoral fellowship (2018-20), and was subsequently appointed Teaching Fellow in the School.

(c) PGR Recruitment, Support, Training and Supervision

A thriving PhD programme is a major facet of the School's research activity with broadly-based staff participation. Thirty-six colleagues were (co)supervising doctoral students at the census date (with full teaching credit), and many more participate in Doctoral Training Partnership (DTP) courses, methods training and work-in-progress seminars, as viva chairs and internal examiners, and



through the Annual Doctoral Colloquium (ADC) – a day-long workshop at which all current doctoral students present their research and receive supportive oral feedback (plus, for third years, written comments on submitted draft papers) from colleagues and peers. In recent years, the ADC has also featured external discussants/commentators, typically ECRs from other universities (many are Nottingham PhD graduates). PGRs are active research centre members, organising workshops, delivering papers, and networking.

Planned expansion of PhD registrations from 28 (RAE 2008) to 44 (REF 2014) has achieved a sustainable cohort of c.40 PGRs (plus c.10 "thesis pending"), with effective critical mass – especially in areas of strength mapping onto the six research centres. Global recruitment attracts applicants of exceptional ability and promise, including national scholars (e.g. Alverez and Vallejo Trujillo under the Colombian Government's Colciencias-Colfuturo scheme; Celiksoy and Kaya won Turkish Government scholarships; Diva Ersya was funded by the Indonesian Government; Leepuengtham was Thai Government-sponsored, and on graduation was appointed to Thailand's Supreme Court; Mosaka was a FirstRand Foundation Scholar). During the REF2021 period Nottingham students secured DTP scholarships through three RCUK schemes: AHRC Midland 3 Cities (7 awards); ESRC Midlands Graduate School (5); and EPSRC Horizon Centre (2). UoN funds PhD scholarships for exceptional students (7 awards to Law in this period, including the prestigious VC's Scholarship claimed by Abrusci), with fully-funded School of Law PhD scholarships supporting another 8 PGRs since 2014. On average 12 doctorates are awarded annually to students supervised (in whole or part) by School staff – 77 graduates in total, 2014-2021.

All new full-time (and optionally, many part-time) doctoral students attend a term-long bespoke module on Research Methods in Law, delivered in-house by experienced researchers with methodology publications (Fraser and Roberts, with van der Eijk (Politics) and Law Librarian Simmonds). Intensive regular contact with dedicated supervision teams (typically pairing established experts with more junior scholars, sometimes in interdisciplinary combinations) affords smooth progression from "training" and mentoring to the production of mature scholarship and outputs (e.g. Mistry in REF2), simultaneously mentoring ECR colleagues in PhD supervision. Active collaboration between supervisor teams and students extends to co-authorship. PGRs audit relevant LLM modules (and subject-specialist courses across UoN), alongside generic skills training Graduate School (relaunched in 2020 as the Researcher www.nottingham.ac.uk/researcher-academy/) tailored to each stage of the PhD life-cycle. The School's Research Committee organises career-development workshops ('How to Get Published'; 'Doing and Disseminating Research Online'; 'Maximising Research Impact'), with significant input from postdoctoral fellows. Subject to satisfactory progress, PGRs may acquire tutorial and/or seminar teaching experience, with structured support and supervision by module leaders.

Annual Panel Reviews monitor progress, channelling constructive feedback and advice from senior scholars outside the primary supervision relationship. Most School PGRs pass with minor or no corrections and produce high-quality theses, kick-starting many successful research careers. REF2 includes UoN PhD-derived monographs by **Davitti**, **Ghazaryan**, **Hyde**, **Saidova** and **Shucksmith-Wesley**. The School's PGR programme has a proven record of nurturing scholarly talent and bolstering research capacity in the sector. Graduating PGRs commencing academic careers in the UK and overseas in this REF period include: **Akintola** (Lancaster), **Botte-Kerrison** (SSHRC, Ottawa), **Brittle** (NTU), **Chadwick** (NTU), **Chave** (UCL, IBIL), **Che** (CUPL, Beijing), **Chen** (Hertfordshire), **Cruz** (Bristol), **Davitti** (UoN), **Ezechukwu** (BCU), **Garstka** (Cambridge), **Hayes** (Sheffield), **Holloway** (UoN), **Jacques** (UEA), **Kaprou** (Brunel), **Keeling**



(Leeds), Landefeld (DMU), Masiko (UoN), Mistry (UoN), Mosaka, (Cape Town), Ojogbo (BIU, Benin City), Peake (UoN Rights Lab), Pulvirenti (Liverpool John Moores), Purshouse (UEA), Reichstein (UEA), Scali (Kingston), Thomason (UoN), Vallejo Trujillo (Tolima, Colombia), Wallace (Leeds), Watkins (NTU), Shucksmith-Wesley (UoN).

(d) EDI policy and Practice

EDI policies are central to UoN's mission (Institutional ES, § 3.6, 3.7, 4.3), and integral to the School of Law's ethos: equality, diversity and inclusion are aspects of justice, which the School has a proud tradition of championing. Birch was amongst the UK's first cohort of female law professors, and was previously Dean of the Faculty of Law and Social Sciences. In 2020, Bekou was appointed the School's first female Head. Of the current professoriate, six are women, there is rough gender parity at associate professor level, and the ratio of female: male assistant professors is 2:1 (reflecting recent appointments). On their retirement in 2020, Footer and Arrowsmith became the School's first two Professors Emerita. In terms of nationality, age, and ethnicity, the School's academic staff profile is diverse at all grades. Twenty-nine colleagues (55%) are non-British, from: Armenia, Australia, Belgium, Canada, China, Czech Republic, Greece, Hungary, Iran, Ireland, Israel, Italy, New Zealand, Romania, Serbia, South Africa, Uganda, Uzbekistan and Zimbabwe. Amongst the student population, there is broad gender parity (f = 51%, m = 49%) and significant ethnic diversity at undergraduate (c.40% identifying as "BME"), PGT (f = 60%; "BME" = 50%) and PGR (f = 66%, "BME" = c.30%) levels across the REF period. In relation to progression and awards, female students outperform male students in first class and 2:1 undergraduate degrees every year by a significant margin (e.g. in 2018/19 aggregated 2&3 year results: f = 45% 1 or 2:1, m = 38%). Outcomes are roughly gender balanced at masters (LLM) level.

Informed by the Vitae Report (2015), the School undertook a root-and-branch administrative review of EDI policy, leading in 2017 to the creation of a School Equalities, Diversity and Inclusion Committee (SEDIC) to mainstream EDI polices and practice within the School's committee structure and practices. SEDIC meets regularly throughout the year to identify and address all pertinent issues, for staff and students. Its recommendation that all senior School administrative roles should be filled by open application was implemented in 2019. Well-attended occasional seminars, e.g. on "unconscious bias" and mentoring, supplement broader UoN initiatives. The School secured an Athena Swan Bronze award in 2019, and subsequently devised a detailed action plan to monitor ongoing progress and embed best practices.

Moreover, inclusion and diversity are promoted directly through the School's research activities, publications and PGR supervision, which in the current period (cf. REF2) addressed disability rights, juvenile justice, modern slavery, postcolonialism, anti-Semitism, feminism, queer theory, equality and non-discrimination.



3. Income, infrastructure and facilities

(a) Location and Physical Infrastructure

School of Law staff offices, research centres, PhD study spaces and the principal teaching rooms form a cohesive unit, within the Law and Social Sciences Building (L&SS) – shared with Politics and Sociology – on the 330 acre main University Park (UP) campus site. L&SS has lift access to all four floors and accessible bathroom facilities.

L&SS sits adjacent the Hallward Library, housing the Law collection, and facing the Centre for Advanced Studies (CAS), remodelled in 2012. Colocation within this informal social sciences hub facilitates daily interaction within and between Schools and promotes both direct research collaboration and cross-disciplinary initiatives, underpinned by Faculty structures and resources. UoN continually invests in its award-winning campuses. The newly-constructed Monica Partridge Building (MPB) opened in September 2018, providing extensive new teaching, meeting and study space accommodation just metres from L&SS – forming a courtyard-style, fully pedestrianised social and circulation space without compromising UP's overwhelmingly open and verdant character. L&SS backs onto the 'secret' Millennium Garden, leading to the on-campus Orchard Hotel (built 2012) and the East Midlands Conference Centre, both of which host academic meetings (§4(c)(i), below) and other School events. The School also utilises UoN's Malaysia and China campuses on an *ad hoc* basis (e.g. hosting HRLC professional training courses).

Academic staff occupy their own, well-equipped, tutorial offices, with 24-hour, 365-day access. Doctoral students enjoy 24-hour access to a dedicated PGR work room, equipped with study carrels, networked computers, lockable desk space and unlimited printing. Additional 'multi-functional' social, meeting and seminar space for research students is housed in the School, MPB, CAS and the Hallward Library. L&SS' internal décor blends achievement with inclusive collegiality. Posters celebrating major (book) publications by School members adorn corridor walls. *Humans of the School of Law*, led by **Goodwin** and **Mistry**, was launched in 2017, to replace yellowing cartoon portraits of yesteryear's judiciary with images conveying "the difference and diversity that makes our School what it is". New photographs of School students and staff – past and present – are captioned with short vignettes encapsulating personal engagements with law, law school and legal scholarship. One testimonial reads simply, "here is where I can be the me-est version of me".

(b) Information Resources

Information constitutes the 'test tubes and consumables' of legal research. The School's Information Resource Committee works closely with a dedicated Law Librarian (**Simmonds**, with 23 years' experience in legal education) to ensure excellent library provision and professional IT support. **Simmonds** provides intensive legal research skills education, specialist collections curation, and individualised project support, alongside senior Information Services management. UoN Hallward Library houses an extensive, 'full service' Law collection, augmented by ever-expanding on-line resources and subscriptions (Westlaw, LexisLibrary, HeinOnline, etc), directly (and remotely) accessible to all staff and student computers and portable devices. The School of Law contributes to funding specialist subscriptions such as i-law, Kluwer Arbitration and the Index to Legal Periodicals & Books.



Since 2018, UoN's Research Information System (RIS), has streamlined grant applications (including internal peer review), facilitated coordination between research teams across HEIs and internationally, and managed archiving and dissemination of publications, supporting – inter alia – Open Access and REF submissions. All staff benefit from state-of-the-art office desktops, portable devices (many recently upgraded to SurfacePro tablets), and (well before Covid struck) long-loaned computing equipment to facilitate flexible home-working. Access to the full suite of on-line library resources and licensed research tools (e.g. SPSS, STATA, NVivo, Qaltrics) is available on campus and off-site via Cloud and "remote desktop" technology. Switch-over to Office365 and OneDrive cloud-based computing during the period has enhanced collaborative working and expanded data storage capacities (5GB personal, secure, storage to replace the aging R:Drive). Data management policy and infrastructure have been refreshed across the institution, partly to secure compliance with GDPR. School staff work with UoN Digital Research Specialists to drive innovation, KE and research impact: e.g. Bekou utilised the cloud-based Automated Transcription Service; Hyde collaborated to produce a regulatory compliance VR Game (with forthcoming publications): Kemp's Digital Legal Rights project was supported to produce an app and "Know my Rights" website to assist police station interviewees: https://knowmyrights.org.uk/.

The School's continuous investment in IT-support, including website curation publicising weekly events, advertise expertise (for consulting and research collaboration) and disseminate research findings, proved vital to the resilience of research (and teaching) activities during the pandemic.

(c) Research Support and Income

Dedicated funding and administrative support targets individual and collaborative research, within UoN and externally. R&T staff are allocated £1,500 p.a. flexible research allowance (temporarily reduced to £500 for 2020-21) for conference travel, books and equipment, small-scale research assistance (often supplied by PhD students, and simultaneously providing research mentoring), etc. Published outputs (cf. REF2) evolve organically from scholarly initiative, nurtured by a collegial School environment affording time and space to think, write and discuss work in progress with knowledgeable colleagues (buttressed by supportive research leave policies and opportunities for advanced research-led teaching and PGR supervision, as previously outlined). Many books, articles and research projects began life as (postgraduate) modules or seminars.

The School's six research centres naturally attract major external funding. Notably, HRLC's ongoing participation in the FRAME consortium of 19 international human rights research centres generated £200,000 to assist in preparing the *Handbook on Access to Justice* and updating the EU Fundamental Rights Agency's 2019 Annual Report. The CJRC and the PPRC house numerous funded projects (see below). As well as supporting its research centres and rolling seminar programmes, the School provides strategic pump-priming for promising initiatives, e.g. **Mulgrew's** developmental e-learning tool (with Europris and Council of Europe) for foreign prisoners, launched in September 2019 in English, French, Spanish, German, Greek and Italian (with plans for Turkish and Arabic translations); and invites applications for occasional expert workshops, symposia, etc, frequently associated with published outputs (e.g. the half-day colloquium and book launch of Amatrudo (ed), *Social Censure and Critical Criminology* (2017), hosted by contributor **Roberts** in November 2017).

External funding applications to facilitate larger, collaborative research programmes are proactively encouraged. A mentorship scheme for developing grant proposals, targeting ECRs but also



established scholars branching out into new and/or interdisciplinary methodologies, was introduced, with a £500 bonus to personal research allowances to further incentivise major bid writing. CAS supplies additional administrative support, identifies potential funding opportunities, organises (interdisciplinary) peer-review within UoN, and completes technicalities (institutional undertakings, detailed costings, etc).

These initiatives have borne fruit. Total external grant income for the period 2014-2020 was £2.36 million (+19% on REF2014). UKRI research councils (20%) and the EU (57%) were the primary external sponsors, supplemented by UK-based charities (12%), UK government (7%) and overseas public bodies, industry and commerce (3%). Twenty-two members of staff were named project PI/CIs, and many others collaborated and co-authored outputs. Highlights include:

- **Arrowsmith**: BA Newton Advanced Fellowship (£74,000) with Stellenbosch University; and Achilles Information Ltd (£180,000) for global public procurement research;
- Bartlett: multiple NIHR-funded projects on forensic psychiatry/mental health law (totalling over £200,000);
- Bekou: Magna Carta Fund for Human Rights and Democracy (£495,360) for Strengthening the Investigation, Documentation and Prosecution of Sexual Violence in Conflict (2016-17); €1.2m (UoN share £112,000) from EIDHR/Norwegian government for Enhancing the Rome Statute System of Justice (2013-16); ESRC NGO Data Funding 2018-20 (£20,000);
- **Cercel**: Romanian Government-funded *Heads of State: Authoritarian Dynamics in Romanian Constitutional History* funded by the (RON850 000, c.£163,000);
- **Derclaye:** consortium awarded €270,000 by European Commission for *Evaluation of Directive 96/9/EC on the Legal Protection of Databases* (2017);
- **Footer**: European Commission funding (£379,500) for Security of Transnational Flows of Natural Resources;
- **Georgopoulos:** Innovate UK Sustainable Innovation Fund (c.£30,000 UoN share, plus £4k UoN QR grant) for *Tracking and Enhancing Sustainability and Diversity in Healthcare Procurement in light of COVID-19*;
- **Hyde:** ESRC-GCRF grant (£177,367) in collaboration with UoN Psychology for *Building an Integrated Data Platform for Early Child Development in Malawi*; and EPSRC-funded (£14.5m, UoN share £2.8m) interdisciplinary consortium developing a *Trustworthy Autonomous Systems Hub*;
- **Jackson**: BA/Leverhulme Senior Fellowship (£43,000), producing *Special Advocates in the Adversarial System* (2019);
- **Kemp:** multiple awards for researching suspects' digital rights in police stations (see [2020] Crim LR 129-147], including major Nuffield funding (£259,233) and Legal Education Foundation (£58,000);
- La Chimia: AHRC Global Challenges-funded Rising from the Depths: Utilising Marine Cultural Heritage in East Africa (£2 million; UoN Law & Archaeology share £1m);
- **McCorquodale:** Norton Rose Fulbright 2014-18 (£200,000); European Commission 2018-2020 (£250,000) to research corporations' human rights due diligence;
- **Mevorach** International Insolvency Institute award (US\$54,000) to develop A Framework for Cross-Border Resolution of Financial Institutions;
- Milanovic: BA Mid-Career Fellowship 2020 (£114,112);
- van Zyl Smit: Leverhulme (£222,785), producing Life Imprisonment (2019);
- White: Dutch NWO-funded (€100,000) project on private security in Indonesian extractive industries.



Smaller awards include: BA/Leverhulme (**Georgopoulos**, **Jackson**, **Kemp**, **La Chimia**), ESRC Impact Accelerators (**Appleton**, **Bekou**, **Kemp**, **Mevorach**, **Mulgrew**, **Nolan**, **van Zyl Smit**), EU FP7 (**Torremans**), MLR (**Jackson**, **Foster**), Max Planck Fellowships (**Appleton**, **Shah**, **van Zyl Smit**).

4. Collaboration and contribution to the research base, economy and society

Belying its provincial location and relatively modest size, the School continues to make an enormous and distinctive contribution to legal research, policymaking, law reform and the discipline of Law, within the UK and internationally. REF2 outputs and REF3 impact case-studies offer selective snapshots of far broader, dynamic research activity and (international) collaboration – much of it interdisciplinary – extending across thirty or more diverse legal specialisms, whose "users", "beneficiaries" and "audiences" include: national and international courts and tribunals; UK government; law reformers and policymakers worldwide; international organisations; legal and associated professions and professional associations; charities; NGOs; law teachers; and law students, at home and abroad. Building on Nottingham's proud tradition of academic leadership, scholarly collaboration and exceptional productivity, spearheaded in previous decades by **Sir John Smith** and (now Emeritus Professor) **DJ Harris**, research in this REF period has promoted good governance in the UK and internationally, championed liberal values of democracy, equality, inclusion and cultural pluralism, safeguarded natural and cultural environments, and served the peoples of the world to live in peace and security under the rule of law, with enhanced protection for their fundamental rights and effective access to justice.

(a) Direct contributions to adjudication, legislation and law reform

Publications by Nottingham scholars are copiously cited to, and by, courts, advocates, legislatures, law reformers, and policymakers:

(i) Judicial citations to published work:

<u>UK Supreme Court</u>: Navigators Insurance Co v Atlasnavios-Navegacao [2018] UKSC 26, [27] (**Bennett**); Belhaj v Straw [2017] UKSC 3, [107] (**Harris** and **Sivakumaran**); Mohammed v Secretary of State for Defence [2017] UKSC 2 (**Milanovic**); Coventry v Lawrence [2014] UKSC 13, [248] (**Rotherham**);

<u>E&W Court of Appeal</u>: *Atlasnavios-Navegacao* v *Navigators Insurance Co* [2016] EWCA Civ 808; [2017] 1 WLR 1303, [31] (**Bennett**);

E&W High Court: SRCL Ltd v NHS Commissioning Board [2018] EWHC 1985 (TCC), [173], [180]-[196]; & R (Gottlieb) v Winchester CC [2015] EWHC 231 (Admin), (Arrowsmith); R (Trafford) v Blackpool BC [2014] 2 All ER 947, [53] (Bailey); The B Atlantic [2014] EWHC 4133 (Comm); [2015] 1 Lloyd's Rep 117, [234], [255] & Ramburs v Agrifert SA [2015] EWHC 3548 (Comm); [2016] 1 CLC 46, [6] (Bennett); Senior Taxi Aereo Executivo v Augusta Westland [2020] EWHC 1348 (Comm), [143] & Morrow v Shrewsbury RUFC [2020] EWHC 379 (QB), [136]-[146] (Hyde); Mohammed v Defence Sec [2014] EWHC 1369 (QB), [273] (Milanovic); Halsall v Champion Consulting Ltd [2017] PNLR 32 (Todd);

Court of Protection: Re SH; The Public Guardian v RL, FJ, and KLC [2016] EWCOP 2 (Bartlett);



<u>UK First Tier Tribunal</u>: *Paragon Customer Communications v Revenue and Customs* [2018] UKFTT 162 (TC), [119] (**Arrowsmith**);

European Court of Human Rights: Fernandes de Oliveira v Portugal, GC(78103/14), 31 January 2019 (Bartlett): Muhammad v Romania GC(80982/12), 15 October 2020 (Concurring Opinion); Ilias and Ahmed v Hungary GC(47287/15), 21 November 2019 (Judges Bianku and Vucinic); Svinarenko and Slyadnev v Russia GC(32541/08), 17 July 2014 (Judges Nicolaou and Keller) (Harris/Buckley); Chiragov v Armenia, GC(13216/05), 16 June 2015 (Judge Gyulumyan) & Jaloud v Netherlands, GC(47708/08), 20 November 2014 (Judge Motoc) (Milanovic); Moreira Ferreira v Portugal (No. 2), GC(19867/12), 11 July 2017 (President Raimondi) (Mowbray);

EU Court of Justice: AK v Sądownictwa, C-585/18, [84] (AG Tanchev, 27 June 2019) (Kenner); Cofemel v G-Star Raw, C-683/17 (A-G Szpunar, 2 May 2019) (Derclaye); AMS Neve (EU trade mark action for infringement) [2019] EUECJ C-172/18_O, nn 26 & 42 (A-G Szpunar, 28 March 2019) (Torremans); Eurobolt BV, C-644/17, [33] (A-G Hogan, 28 February 2019) (Kenner); Western Sahara Campaign UK v Revenue and Customs [2018] EUECJ C-266/16_O, [243] (A-G Wathelet, 10 January 2018) (Milanovic); Orsi, C-217/15, [26] (A-G Campos Sánchez-Bordona, 12 January 2017) (Kenner); SARL v Samsung Electronics [2016] EUECJ C-618/15_O, [58] (A-G Wathelet, 9 November 2016) (Torremans).

International Criminal Court: ICC-02/17-138, [26] (appeal on situation in Afghanistan) (**Bekou**); ICC-01/04-02/06-1707, [48] (*Prosecutor* v *Ntaganda*) (**Sivakumaran**).

Northern Ireland High Court: Doherty v Ministry of Defence [2019] NIQB 35, [14] (Hyde);

Scottish Court of Session: Hughes v Turning Point Scotland [2019] CSOH 42 (Hyde);

<u>Dutch Supreme Court</u>: AG Drijber's Opinion of 16 March 2018 ECLI:NL:PHR:2018:254 in *Pearson*, 8 June 2018; & AG Verkade's Opinion in *Ryanair* v *PR Aviation*, 7 January 2015 ECLI:NL:HR:2014:88 (**Derclaye**);

<u>Irish Supreme Court:</u> Cromane Foods Limited v Minister for Agriculture, Fisheries & Food [2016] IESC 6 (**Todd**);

Namibian Supreme Court: S v Gaingob (SA 7/2008, SA 8/2008) (2018) NASC 4, [61]ff (6 February 2018) (Appleton/van Zyl Smit);

Newfoundland and Labrador Court of Appeal: AA (Re) 2019 NLCA 7 (Bartlett);

New Zealand Court of Appeal: Vero Insurance New Zealand v Morrison [2015] NZCA 246 (**Todd**); Strathboss Kiwifruit Limited v Attorney-General [2020] NZCA 98, [101], [167], [184]; & High Court [2018] NZHC 1559 (**Todd**).

(ii) Citation/participation in litigation argument:

McCorquodale represented Vanuatu in *Advisory Opinion on Chagos Archipelago* (ICJ decision 25 February 2019), and civil society organisations before the UK Supreme Court in *Vedanta v Lungowe* (2017) and *Bancoult No 3* (2015). **Foster** advised the Canadian Government in relation to the *Six Nations Litigation*. Colleagues were cited in counsel's arguments before the UK Supreme Court (**Bartlett** in *N v ACCG* [2017] UKSC 22); by the ICC OTP (**Kattan** in *Prosecution Response to the Observations of Amici Curiae, Legal Representatives of Victims, and States, Situation in the State of Palestine*, 30 April 2020; **Mistry** in *Prosecutor v Laurent Gbagbo*, ICC-02/11-01/15-1277-Conf, 15 October 2019', 17



March 2019); and in ICC defence submissions (**Mistry** in Defence of Charles Blé Goude, ICC-02/11-01/15-1315-Conf, 6 March 2020, 9 March 2020).

(iii) UK legislative process and law reform:

Arrowsmith appointed to the Cabinet Office's Procurement Transformation Advisory Panel (2019-) and the DTI's Expert Trade Advisory Group (Procurement) (Feb 2020-). Bartlett served as specialist advisor to the House of Lords ad hoc Scrutiny Committee on the Implementation of the Mental Capacity Act 2005 (HL Debs, 10 March 2015); Butler provided written evidence to HC Transport Committee's Intercity East Coast Franchise Inquiry (HC 891, 2018). Cartwright served on the Law Society's Consumer Law Reform Reference Group (2016), and assisted the HC BISS Committee on the Draft Consumer Rights Bill; & SRA, Quality of Legal Services for Asylum Seekers (2014). Georgopoulos contributed to HC Defence Committee's, Gambling on Efficiency (2017); HC BEIS Committee's Forced Labour in UK Value Chains (2020); and HC DCMS Committee's Broadband and the Road to 5G Network (2020). Hyde made submissions to the Scrutiny Committee on the Food Hygiene Rating Northern Ireland Bill (2014). Kemp advised the Ministry of Justice on post-LASPOA 2012 criminal legal aid; the Cabinet Office's Open Innovation Team on Understanding and Measuring Trust in the Justice System; & HMCTS on the Voice of the Defendant, digital technologies and remote working. Both Kemp and Arrowsmith have been appointed by the Lord Chancellor to the Expert Panel advising the (Bellamy) Independent Review of Criminal Legal Aid (2020-). Jackson served on the Gillen Review advisory panel on legal procedures in serious sex offences in Northern Ireland (2018-2019); and is a member of the NI DoJ Core Reference Group on Sentencing (2017-).

McCorquodale served as Special Advisor to the UK Parliament's Joint Committee on Human Rights' Inquiry into Corporations Responsibility and Accountability for Human Rights (2016-2017), drafting its final report. Mevorach has been appointed to UK Office for Science Covid-19 Recovery Trade and Aid Working Group (2020). Milanovic submitted evidence to JCHR Inquiry into The Government's Proposed Derogation from the ECHR (12 April 2017) and oral testimony to the All-Party Parliamentary Group on Drones (5 December 2017). Munro provided written evidence to JCHR Enquiry Detention of Young People with Autism and Learning Disabilities (HC121, 2019; HL POSTNote on Autism Jan 2020). Nolan was appointed to the Scottish First Minister's Advisory Group on Human Rights Leadership in 2017, and served on the Scottish Government's Child Rights Working Group 2019-20. Roberts' work on forensic science was extensively quoted in HC Debs vol 680 (23 Sept 2020, Rupa Hug MP). Tosato is a member (2014-) of the English Secured Transactions Law Reform Project. White provided oral and written evidence to HC Political and Constitutional Reform Committee's Report on Parliament's Role in Conflict Decisions (HC 892, 2014; reproduced HC Briefing Paper 7166, 12 May 2015); and to HC Defence Committee on UK Armed Forces Personnel and the Legal Framework for Future Operations (HC 931, 2014).

Contributors to UK Law Commissions projects included **Bartlett** (wills, liberty safeguards), **Bennett** (insurance contracts), **Hyde** (data sharing, contract, defamation) and **Tosato** (law reform programme).

(iv) International legislation:

Jackson joined ELI's project team on *Admissibility of E-Evidence in Criminal Proceedings in the EU* (2020-). **McCorquodale** co-authored the *Study on Due Diligence in the Supply Chain* (2020) for the European Commission and serves as Independent Special Advisor to the UN Open-Ended Working Group on Drafting a Legally Binding Instrument on Business and Human Rights (2014-). **Mevorach** contributed to multiple UNCITRAL insolvency instruments. **Milanovic** served as appointed peer reviewer of ICRC's new commentaries on the Geneva Conventions and the Tallinn Manual on *International Law in Cyber Operations*. **Nolan**



contributed to the *Guiding Principles on Human Rights Impact Assessments for Economic Reform Policies* (A/HRC/40/57, 2018); drafted part of UN Committee on the Rights of the Child's GC19 (2016); and was on the Drafting Committee of the Abidjan Principles on the Right to Education (2019). As an Executive Committee member of the *Association littéraire et artistique internationale* (ALAI), **Torremans** co-authored two opinions on EU Directive 2019/790 currently before the EU Commission and Court of Justice. In 2020 **Tosato** joined the US Uniform Law Commission's Commercial Code Revision Study Group (digital assets).

(v) Worldwide policy-making: research, advice and knowledge transfer:

Appleton is an Expert Advisor to the United Nations Office on Drugs and Crime (2018-) and in 2020 became a Board Member of Penal Reform International. **Arrowsmith** assisted the OECD through the International Partnership Against Corruption in Sport, Task Force 1 (2018-19), and was a member of the World Bank International Advisory Group on Procurement (2012-2016). **Bartlett** served as Council of Europe Special Advisor on mental health law reform in Georgia, Armenia, and Serbia, and wrote Appendix M to the *Independent Review into the Preventable Death of Connor Sparrowhawk* (Verita, 2015). **Derclaye** contributes expertise and legal advice through memberships of the Research Experts Advisory Group to the UK Intellectual Property Office, the European Copyright Society, and the International Association for the Protection of Intellectual Property (AIPPI)'s Special Committee on Intellectual Property and Environmental Technology/Climate Change (Green Technology).

Georgopoulos advised the Dutch government in relation to EU Commission infringement proceedings against the Netherlands. Kattan provided legal advice to two Palestinian ministries (Negotiations; Foreign Affairs) and is cited by the UN Special Rapporteur's Report on Human Rights in the Palestinian Territories (UN Doc A/73/45717, 22 October 2018). La Chimia was a member of the World Bank reporting team on Benchmarking Infrastructure (2020), the ICAI-appointed panel reviewing UK aid purchasing, and advised the Afghanistan national procurement authority on comprehensive institutional reform. **Meyorach** was Senior Counsel to the World Bank and Global Task Force lead on Insolvency and Creditor/Debtor Regimes (2014-2015), advising governments in Africa, Asia, Europe and the Caribbean; and represented the World Bank (2014-2016) and later joined the UK Delegation (2016-) to UNCITRAL Working Group V. She is quoted extensively in World Bank policy papers on insolvency, directors' obligations and creditor/debtor regimes. Milanovic's work features in the UN Special Rapporteur's report to the Human Rights Council on the murder of Jamal Khashoggi (A/HRC/41/CRP.1). Mistry (with Bekou) informed the Independent Review of the ICC and Rome Statute (March 2020-), and advised the FCO Legal Directorate on HMG's own submission. Mulgrew advised the CoE DG of Human Rights and Rule of Law (2019), the EU Fundamental Rights Agency (2015), and - in association with Europris and the Belgian Prison Service - prison administrators from 17 countries and two international courts on prisoner transfers (2016).

Roberts served on the RSS's Statistics and Law Working Group (2009-2015), co-authoring **Evidence** Practitioner Manuals on Statistical (rss.org.uk/newspublication/publications/our-research) and promoted evidence law reform in China and Tanzania via membership of the International Association of Evidence Science. Tosato was a Member of the Italian Delegation to UNCITRAL Working Group VI, Secured Transactions (2014-2019), advising the Italian Ministry of Foreign Affairs; and subsequently appointed to UNIDROIT Working Groups on Best Practices in the Field of Electronic Registry Design (2019-) and Warehouse Receipt Model Law (2020-). van Zyl Smit and Appleton supplied research data for a Penal Reform International briefing paper on Life Imprisonment (2018), also disseminated in Arabic, French, Japanese and Russian translations. White contributed to the UN Working Group on Mercenaries (2015) and UN Doc A/HRC/42/42 (29 July 2019) on private security and extractive industries.



(b) Legal pedagogy, institutional infrastructure and disciplinary development:

The School's outstanding scholarship enriches the capacity and vitality of UK and international legal research and sustains its disciplinary infrastructures. Colleagues referee countless papers, book proposals and grant applications, taking leading roles in research administration in the UK, Europe and internationally (e.g. **McCorquodale** is Expert Reviewer for the Australian Research Council, **Roberts** is on the Standing Review Board, Hong Kong Grants Council; **White** was a Hong Kong RAE2020 Panel Member; and **Fraser** continues on the REF2021 Law Sub-panel).

As academic leaders in their respective fields, Nottingham scholars commission and edit book series (§1(b), above), and author leading practitioner works (e.g. *Blackstone's Criminal Practice*: annually, **Birch**; *Charlesworth & Percy on Negligence*, 14/e, 2018: **Hyde**, **Todd**) and discipline-defining textbooks (e.g. Harris, O'Boyle and Warbrick, *Law of the European Convention on Human Rights*, 3/e 2014 & 4/e 2018; Russian, Bulgarian, Turkish trans. 2016: **Buckley** and **Harris**).

Multiple editorial board roles exemplify colleagues' extensive and diverse contributions to the institutional fabric of (global) legal scholarship:

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Asian Journal of International Law (Kattan);
Business and Human Rights Journal (McCorquodale);
Cambridge International Law Journal (Milanovic);
Criminal Law & Philosophy (Roberts);
Criminal Law Review (Birch, Jackson);
European Journal of International Law (Milanovic);
EJIL: Talk! (Milanovic, Co-Ed 2012-);
European Public Law (Mowbray);
Global Restructuring Review (Mevorach):
Human Rights LR (Bartlett, Kenner, Kritsiotis, McCorquodale, Mistry Rev Ed 2017-,
    Mowbray, Nolan, Shah, Rec Develops Co-Ed);
International & Comparative Law Quarterly (McCorquodale, Gen Ed 2008-18);
International Human Rights Law Review (Nolan);
International Journal of Children's Rights (Nolan);
International Criminal Law Review (Mulgrew, guest ed vol 19(1) 2019);
International Journal of Evidence & Proof (Jackson, Roberts);
International Journal of Human Rights (McCorquodale):
International Organizations Law Review (White);
Journal of Banking Regulation (Cartwright);
Italian Review of Public Management (La Chimia);
Journal of Conflict and Security Law (White, Editor-in-Chief; Kritsiotis);
Journal of Ethics in Mental Health (Bartlett);
Journal of European Consumer and Market Law (Cartwright);
Journal of Forensic Psychiatry and Psychology (Bartlett);
Journal of Forensic Science & Medicine (Roberts);
Journal of International Humanitarian Legal Studies (Milanovic);
Journal of Law and Society (Bartlett);
Law and Philosophy (Roberts);
Law, Probability & Risk (Roberts);
Le Costituzionaliste (La Chimia);
Lloyd's Maritime and Commercial Law Quarterly (Bennett);
Modern Law Review (Roberts, Revs Ed 2017-20; Shah, Legislation Ed 2019-);
Personality and Mental Health (Bartlett);
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Public Procurement Law Review (Arrowsmith, Gen Ed 1992-2019, Butler, Co-Eds 2019-,

La Chimia, Georgopoulos, Trepte, Wang);



Quaestio Facti (Jackson, Roberts); Revista Digital de Derecho Administrativo (Georgopoulos).

(c) International collaboration and research networks

In addition to the activities of the research centres (§1(b)) and networks associated with funded research projects (§3(c)), Nottingham scholars are prominent in national and international research groups and contribute extensively to academic meetings, collaborative research and knowledge transfer, e.g.:

(i) Hosting conferences, workshops, etc:

Nottingham hosted the 2014 SLS Annual Conference (and PhD conference, organised by then-doctoral students **Jacques**, **Mistry** and **Shucksmith-Wesley**, all now in permanent posts). **Appleton** and **van Zyl Smit** organised an Oñati workshop (2015), generating a coedited book (Hart, 2016), and an International Webinar (2018) on life imprisonment. **Kenner** convened EU FP7 FRAME workshops in London (2015) and Harvard (2017). The world-renowned Annual Junior Faculty Forum for International Law was held in Nottingham in May 2017 (**Kritsiotis**, with Weiler (NYU) and Nouwen (EUI)) – producing a special edition of *EJIL* – with subsequent meetings hosted by Melbourne (2018), NUS (2019) and Renmin (2020) law schools.

In collaboration with the Nottingham Autism Police Partnership, **Bartlett** and **Munro** hosted two UK Mental Disability Law Conferences (2016 & 2018), funded by Wellcome Trust and Browne Jacobson Solicitors, including attendees with relevant lived-experience.

The School hosts the ILA British Branch Regional Seminar Series (convened by **Van der Ploeg**). Annual JC Smith Visiting Senior Scholars in residence, who participate in a range of School classes and events including an international workshop, were John Gardner (2014), Liebenberg (2015), Kovacic (2016), Charlesworth (2017), Ewing (2018) and RA Duff (2019).

(ii) Academic networks, scientific collaboration:

Appleton and van Zyl Smit coordinate a Global Coalition on Life Imprisonment Reform, in collaboration with Penal Reform International. Kenner led Nottingham's participation in the EU FP7 FRAME human rights project 2014-17 (www.fp7-frame.eu/). Kritsiotis delivered the inaugural Eli Lauterpacht Memorial Lecture (Cambridge, 2017); Jackson and Roberts are members of the North Sea Group on Legal Evidence; and with van Zyl Smit are participants (2015-) in Anglo-German Dialogues on Core Concepts in Criminal Law and Justice, www.department-ambos.uni-goettingen.de/index.php/anglo-german-dialogue. La Chimia coordinated a research group on Italian procurement law reform under the auspices of the Astrid foundation; is a founding member of the European Association of Public-Private Partnership (EAPPP); and with Davitti are founder-members of the International Economic Law Collective. Roberts is on the scientific (organising) committees of International Conference on Evidence Law and Forensic Science (ICELFS) and International Conference on Forensic Inference and Statistics (ICFIS). Van Zyl Smit gave the 2020 Roger Hood Lecture (Oxford).

(iii) Professional associations and wider public life:

Bailey chairs the SLS Legal Education Sub-Committee, having been President 2013-14; was Vice Chair of Derby Hospitals NHS Foundation Trust (2014); and on University of Lincoln's Board of Governors (until 2019). **Derclaye** has been elected President of the European Copyright Society (commencing 2021). **Fraser** was elected Fellow of the Academy of Social Sciences in 2020.



McCorquodale was Director of the British Institute of International and Comparative Law 2008-2018 (on secondment from UoN), and is a Fellow of the Royal Society of Arts and a Bencher of the Middle Temple. In 2020 **Mevorach** joined the International Advisory Council of the Singapore Global Restructuring Initiative (SGRI) and was appointed to the Lord Chancellor's Advisory Committee on Private International Law. **Milanovic** served as Vice-President (2015-2018) and Executive Board member (2010-2018) of the European Society of International Law. **Nolan** serves on the Steering Group of BA's Childhood Policy Programme, is an Academic Expert (Children's Rights Group) at Doughty Street Chambers, and in December 2020 was elected Vice-President of the European Committee of Social Rights.

(d) Other recognition:

In 2019 Arrowsmith was appointed QC (Hon) for services to English law. Kattan won the Asian Society of International Law Younger Scholar Prize 2017. Mevorach became an International Fellow of the American College of Bankruptcy in 2019, and in 2020 was listed amongst Lawdragon's 500 Leading Global Restructuring & Insolvency Lawyers. Whilst completing her Nottingham PhD in 2014, Mistry claimed the Antonio Cassese Prize for Innovative Perspectives in International Criminal Justice. Toth won the St. Petersburg International Legal Forum Private Law Prize 2018. Sivakumaran's co-authored Oppenheim's International Law (2019) was awarded the American Society of International Law Certificate of Merit. Van der Ploeg's research on non-state entities in international law won the 2019 Swiss Network for International Studies Award. In 2020 van Zyl Smit and Appleton scooped both the ESC and ASC (International Division) Outstanding Book Awards.

Personifying the School's disciplinary and wider contributions to law and society over many decades, **Birch**, who joined as a lecturer in 1975 directly on graduation from the Nottingham LLB, was awarded an OBE for services to legal education in the 2015 New Year's Honours list.